

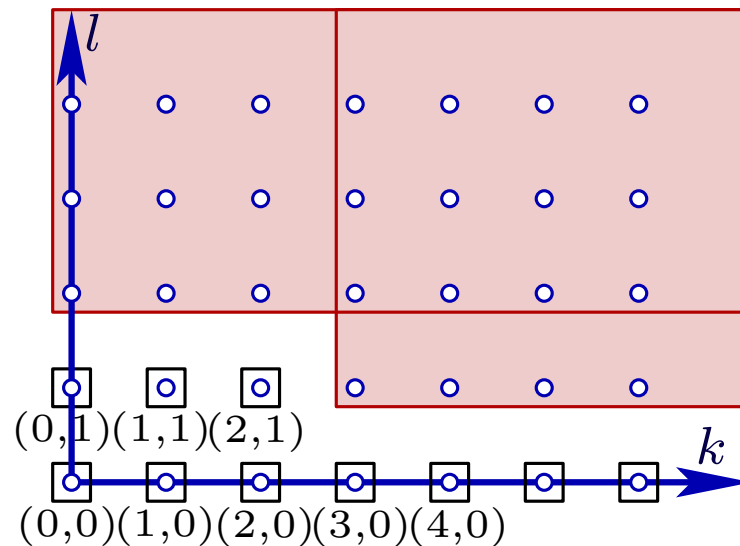
# Differential Invariants, Recurrence Relations and Homogeneous Models in Branches and in Subbranches

JOËL MERKER

[www.imo.universite-paris-saclay.fr/~merker/](http://www.imo.universite-paris-saclay.fr/~merker/)

Institut de Mathématique d'Orsay

Bibliothèque mathématique Jacques Hadamard



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- **GRIEG project:**

## SCREAMS

**S**ymmetry, **C**urvature Reduction, and **E**quivalence **M**ethod**S**

- **Finite-dimensional Lie group  $G$ :**

- $G$  acts on a manifold  $N$ .

- $G$  displaces submanifolds:

$$M \subset N \quad \rightsquigarrow \quad G \cdot M \subset N.$$

- **Examples:**

- Second order, third order, ..., ODEs.

- $(k, l, m)$ -distributions.

- Cauchy-Riemann submanifolds.

- Para-CR structures, or completely integrable PDE systems.

**Today's Goal: Divide by 1**

## Divide by Invariants $I, J, K, \dots$

- **Functions:** In Cartan's equivalence method:

- Objects are *functions*;

- Differential forms have coefficients which are *functions*;

- Computations are often *nonlinear*;

- Invariants (relative or absolute) are *functions*.

- **Divisions:** When some invariant  $I \neq 0$  is nonzero, one has to divide by  $I$ .

- **Example:** Gaussian metric on a surface:

$$ds^2 = |(du, dv)|^2 = E du^2 + 2F dudv + G dv^2,$$

having

$$\begin{aligned} \text{Curvature} = & \frac{1}{(EG - F^2)^2} \left\{ E \left[ \frac{\partial E}{\partial v} \cdot \frac{\partial G}{\partial v} - 2 \frac{\partial F}{\partial u} \cdot \frac{\partial G}{\partial v} + \left( \frac{\partial G}{\partial u} \right)^2 \right] + \right. \\ & + F \left[ \frac{\partial E}{\partial u} \cdot \frac{\partial G}{\partial v} - \frac{\partial E}{\partial v} \cdot \frac{\partial G}{\partial u} - 2 \frac{\partial E}{\partial v} \cdot \frac{\partial F}{\partial v} + 4 \frac{\partial F}{\partial u} \cdot \frac{\partial F}{\partial v} - 2 \frac{\partial F}{\partial u} \cdot \frac{\partial G}{\partial u} \right] \\ & + G \left[ \frac{\partial E}{\partial u} \cdot \frac{\partial G}{\partial u} - 2 \frac{\partial E}{\partial u} \cdot \frac{\partial F}{\partial v} + \left( \frac{\partial E}{\partial v} \right)^2 \right] - \\ & \left. - 2 (EG - F^2) \left[ \frac{\partial^2 E}{\partial v^2} - 2 \frac{\partial^2 F}{\partial u \partial v} + \frac{\partial^2 G}{\partial u^2} \right] \right\}, \end{aligned}$$

and, in the denominator appears  $EG - F^2$  which is  $> 0$ .

• **Example:** With a function  $\varphi$  of 5 variables, consider a hypersurface  $M^5 \subset \mathbb{C}^3$  graphed as:

$$v = \varphi(x_1, x_2, y_1, y_2, u),$$

introduce the *slant function*:

$$k = \frac{\varphi_{z_2 \bar{z}_1} + \varphi_{z_2 \bar{z}_1} \varphi_u \varphi_u - \sqrt{-1} \varphi_{\bar{z}_1} \varphi_{z_2 u} - \varphi_{\bar{z}_1} \varphi_{z_2 u} \varphi_u + \sqrt{-1} \varphi_{z_2} \varphi_{\bar{z}_1 u} + \varphi_{z_2} \varphi_{\bar{z}_1} \varphi_{uu} - \varphi_{z_2} \varphi_{\bar{z}_1 u} \varphi_u}{-\varphi_{z_1 \bar{z}_1} - \varphi_{z_1 \bar{z}_1} \varphi_u \varphi_u + \sqrt{-1} \varphi_{\bar{z}_1} \varphi_{z_1 u} + \varphi_{\bar{z}_1} \varphi_{z_1 u} \varphi_u - \sqrt{-1} \varphi_{z_1} \varphi_{\bar{z}_1 u} - \varphi_{z_1} \varphi_{\bar{z}_1} \varphi_{uu} + \varphi_{z_1} \varphi_{\bar{z}_1 u} \varphi_u},$$

and with the vector field:

$$\overline{\mathcal{L}}_1 = \frac{\partial}{\partial \bar{z}_1} + \frac{\varphi_{z_1}}{\sqrt{-1} - \varphi_u} \frac{\partial}{\partial u},$$

constant Levi rank 1 hypersurfaces  $M^5 \subset \mathbb{C}^3$  are 2-nondegenerate iff:

$$0 \neq \overline{\mathcal{L}}_1(\mathbf{k}).$$

□ In terms of  $J^3\varphi$ , this  $\overline{\mathcal{L}}_1(\mathbf{k})$  has 1 page long expression.

□ Always, one has to divide by  $\overline{\mathcal{L}}_1(\mathbf{k})$ .

**Theorem.** [Pocchiola 2013] *Cartan's method conducts to construct five invariant 1-forms  $\{\rho, \kappa, \zeta, \bar{\kappa}, \bar{\zeta}\}$  together with four 1-forms  $\pi^1, \pi^2, \bar{\pi}^1, \bar{\pi}^2$  satisfying:*

$$\begin{aligned} d\rho &= (\pi^1 + \bar{\pi}^1) \wedge \rho + i \kappa \wedge \bar{\kappa}, \\ d\kappa &= \pi^2 \wedge \rho + \pi^1 \wedge \kappa + \zeta \wedge \bar{\kappa}, \\ d\zeta &= (\pi^1 - \bar{\pi}^1) \wedge \zeta + i \pi^2 \wedge \kappa + \\ &\quad + \mathbf{R} \rho \wedge \zeta + i \frac{1}{\bar{\mathbf{c}}^3} \bar{\mathbf{J}}_0 \rho \wedge \bar{\kappa} + \frac{1}{\mathbf{c}} \mathbf{W}_0 \kappa \wedge \zeta. \end{aligned}$$

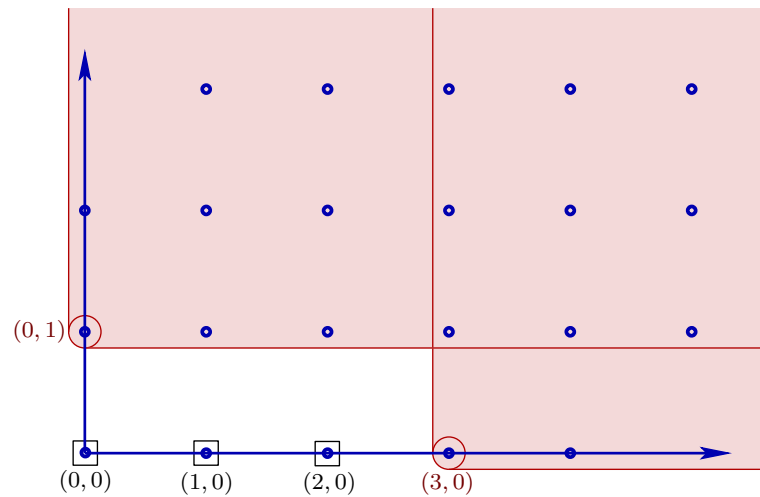
There are four remaining group parameters  $c$ ,  $e$ ,  $\bar{c}$ ,  $\bar{e}$ , and  $R$  is a secondary <sup>o</sup> invariant:

$$R := \operatorname{Re} \left[ i \frac{e}{cc} \mathbf{W}_0 + \frac{1}{cc} \left( -\frac{i}{2} \overline{\mathcal{L}}_1(\mathbf{W}_0) + \frac{i}{2} \left( -\frac{1}{3} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)} + \frac{1}{3} \overline{P} \right) \mathbf{W}_0 \right) \right],$$

expressed in terms of two primary relative invariants:

$$\begin{aligned} \mathbf{W}_0 &:= -\frac{1}{3} \frac{\mathcal{K}(\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k)))}{\overline{\mathcal{L}}_1(k)^2} + \frac{1}{3} \frac{\mathcal{K}(\overline{\mathcal{L}}_1(k)) \overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)^3} + \\ &+ \frac{2}{3} \frac{\mathcal{L}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)} + \frac{2}{3} \frac{\mathcal{L}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)} + \frac{i}{3} \frac{\mathcal{I}(k)}{\overline{\mathcal{L}}_1(k)}, \\ \overline{J}_0 &:= \frac{1}{6} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))))}{\overline{\mathcal{L}}_1(k)} - \frac{5}{6} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))) \overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)^2} - \frac{1}{6} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k)))}{\overline{\mathcal{L}}_1(k)} \\ &+ \frac{20}{27} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))^3}{\overline{\mathcal{L}}_1(k)^3} + \frac{5}{18} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))^2}{\overline{\mathcal{L}}_1(k)^2} \overline{P} + \frac{1}{6} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k)) \overline{\mathcal{L}}_1(\overline{P})}{\overline{\mathcal{L}}_1(k)} - \frac{1}{9} \frac{\overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(k))}{\overline{\mathcal{L}}_1(k)} \\ &- \frac{1}{6} \overline{\mathcal{L}}_1(\overline{\mathcal{L}}_1(\overline{P})) + \frac{1}{3} \overline{\mathcal{L}}_1(\overline{P}) \overline{P} - \frac{2}{27} \overline{P} \overline{P} \overline{P}. \end{aligned}$$

• **Example:** In [\[M.-Nurowski 2020\]](#), homogeneous models of para-CR structures analog to such  $M^5 \subset \mathbb{C}^3$  were classified.



These are system of two PDEs:

$$z_y = F(x, y, z, z_x, z_{xx}) \quad \& \quad z_{xxx} = H(x, y, z, z_x, z_{xx}),$$

satisfying the compatibility condition:

$$D^3 F = \Delta H,$$

in terms of the two total differentiation operators:

$$D := \partial_x + p \partial_z + r \partial_p + H \partial_r \quad \& \quad \Delta := \partial_y + F \partial_z + DF \partial_p + D^2 F \partial_r,$$

By luck:

$$\overline{\mathcal{L}}_1(\mathbf{k}) \iff F_{z_x z_x},$$

so denominator complexity decreases serendipitously.

**Theorem.** [M.-Nurowski 2020] *On the bundle  $\mathcal{G}^9 = M^5 \times G^4$  with  $M^5 \ni (x, y, z, p, r)$  times  $\mathbb{R}^4 \ni (\rho, \phi, f_2, \bar{f}_2)$ , there exist four 1-forms  $\Omega_1, \Omega_2, \Omega_3, \Omega_4$  with  $\theta^1, \theta^2, \theta^3, \theta^4, \theta^5, \Omega_1, \Omega_2, \Omega_3, \Omega_4$  linearly independent at every point which satisfy the following para-CR invariant exterior differential system:*

$$\begin{aligned}
 d\theta^1 &= -\theta^1 \wedge \Omega_1 + \theta^2 \wedge \theta^4, \\
 d\theta^2 &= \theta^2 \wedge (\Omega_2 - \frac{1}{2}\Omega_1) - \theta^1 \wedge \Omega_3 + \theta^3 \wedge \theta^4, \\
 d\theta^3 &= 2\theta^3 \wedge \Omega_2 - \theta^2 \wedge \Omega_3 + \frac{e^{3\phi}}{\rho^3} I^1 \theta^1 \wedge \theta^4 + \frac{e^{-\phi}}{\rho} I^3 \theta^2 \wedge \theta^3 + \\
 &\quad \frac{1}{8\rho^3} \left( 2e^\phi \bar{f}^2 I^3|_5 + \rho(I^3|_{52} + 2I^3|_4) - 4e^{-\phi} f^2 I^3 \right) \theta^1 \wedge \theta^3, \\
 d\theta^4 &= -\theta^2 \wedge \theta^5 - \theta^4 \wedge (\frac{1}{2}\Omega_1 + \Omega_2) - \theta^1 \wedge \Omega_4, \\
 d\theta^5 &= -2\theta^5 \wedge \Omega_2 + \theta^4 \wedge \Omega_4 + \frac{e^{-3\phi}}{\rho^3} I^2 \theta^1 \wedge \theta^2 - \frac{e^\phi}{2\rho} I^3|_5 \theta^4 \wedge \theta^5 + \\
 &\quad \frac{1}{8\rho^3} \left( 2e^\phi \bar{f}^2 I^3|_5 + \rho(I^3|_{52} + 2I^3|_4) - 4e^{-\phi} f^2 I^3 \right) \theta^1 \wedge \theta^5,
 \end{aligned}$$



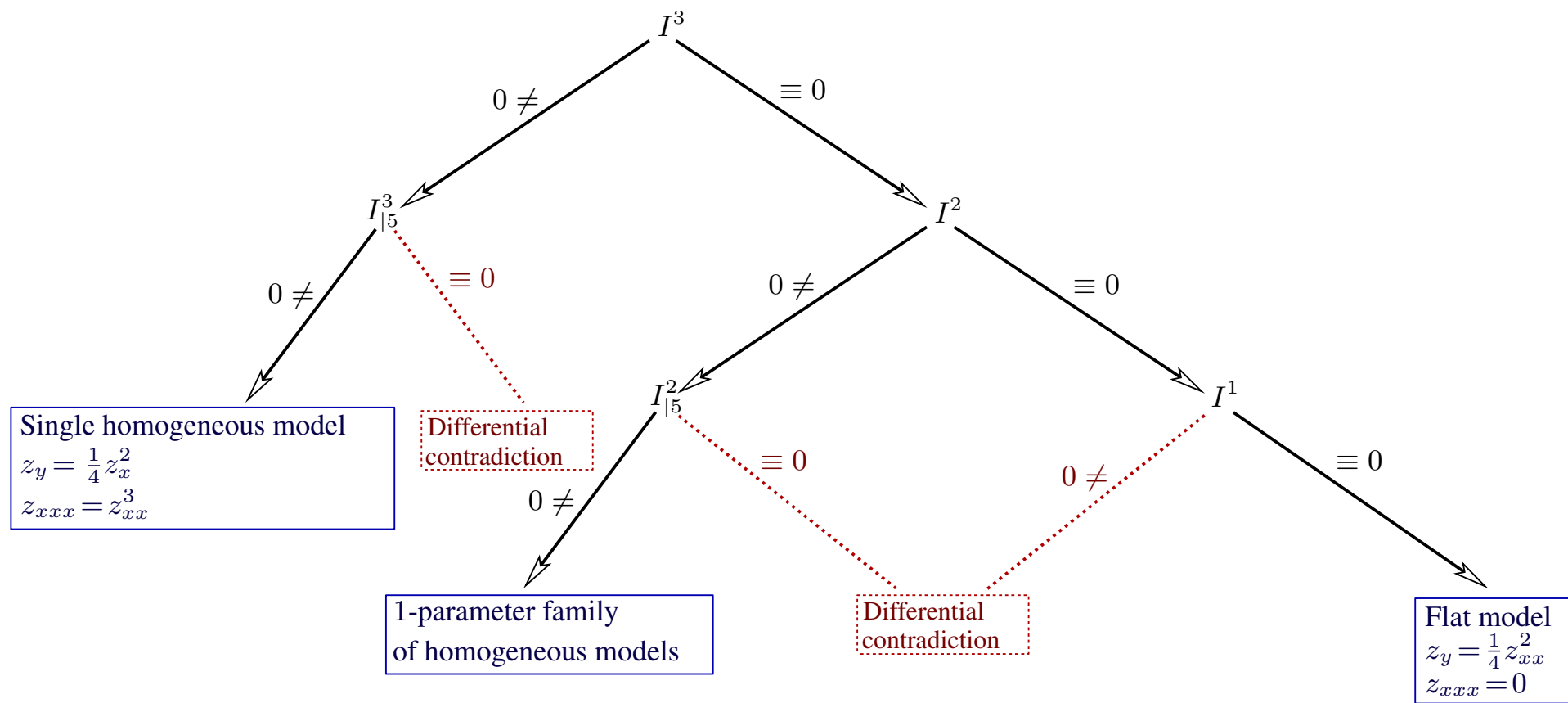
where  $I^1, I^2, I^3$  are explicit relative differential invariants on the base  $M$ :

$$I^1 := -\frac{1}{54} (9D^2H_r - 27DH_p - 18DH_rH_r + 18H_pH_r + 4H_r^3 + 54H_z),$$

$$I^2 := \frac{40F_{ppp}^3 - 45F_{pp}F_{ppp}F_{pppp} + 9F_{pp}^2F_{ppppp}}{54 F_{pp}^3},$$

$$I^3 := \frac{2F_{ppp} + F_{pp}H_{rr}}{3 F_{pp}},$$

and where  $(\cdot)|_i$  for  $i = 1, \dots, 5$  denote directional derivatives along the vector fields  $X_i$  dual to  $\theta^i$ .



**Theorem.** [M.-Nurowski 2020] *Homogeneous models for 2-nondegenerate PDE five variables para-CR structures are classified by the following list of mutually inequivalent models:*

- (i)  $z_y = \frac{1}{4}(z_x)^2$  &  $z_{xxx} = 0$ ;
- (ii)  $z_y = \frac{1}{4}(z_x)^2$  &  $z_{xxx} = (z_{xx})^3$ ;
- (iiia)  $z_y = \frac{1}{4}(z_x)^b$  &  $z_{xxx} = (2 - b)\frac{(z_{xx})^2}{z_x}$  with  $z_x > 0$  for any real  $b \in [1, 2)$ ;

**(iiib)**  $z_y = f(z_x)$  &  $z_{xxx} = h(z_x)(z_{xx})^2$ , where the function  $f$  is determined by the implicit equation:

$$(z_x^2 + f(z_x)^2) \exp\left(2b \arctan \frac{bz_x - f(z_x)}{z_x + bf(z_x)}\right) = 1 + b^2$$

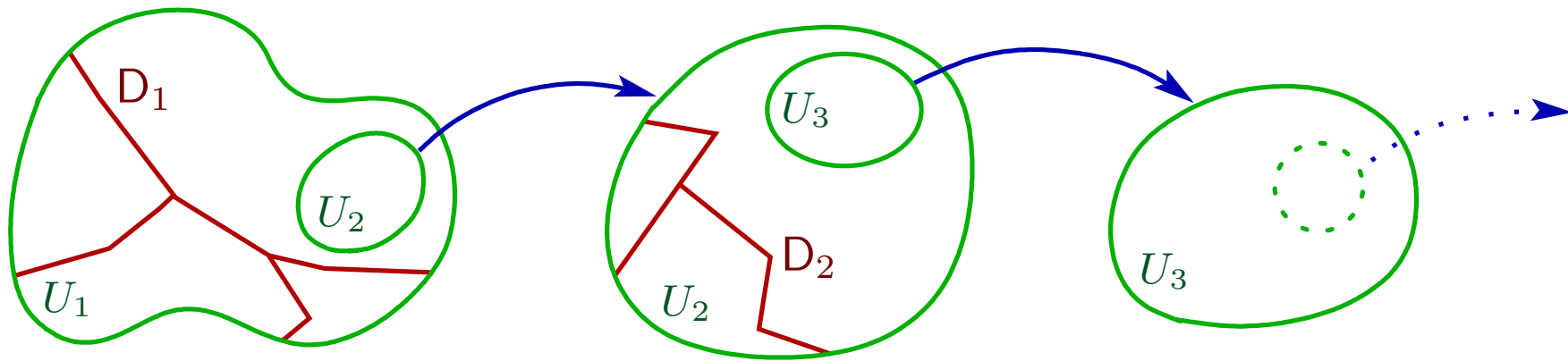
and where:

$$h(z_x) := \frac{(b^2 - 3)z_x - 4bf(z_x)}{(f(z_x) - bz_x)^2},$$

for any real  $b > 0$ .

- **Conclusion:**
- Differential Geometry handles *functions*.
- Differential Invariants are *functions*.
- Any equivalence method forces to divide by (nonzero) *functions*.
- It also forces to *differentiate* several times such quotients.
- This causes exponential growth of complexity.
- **Question:** *But what a function is?*

# Genericity Assumptions



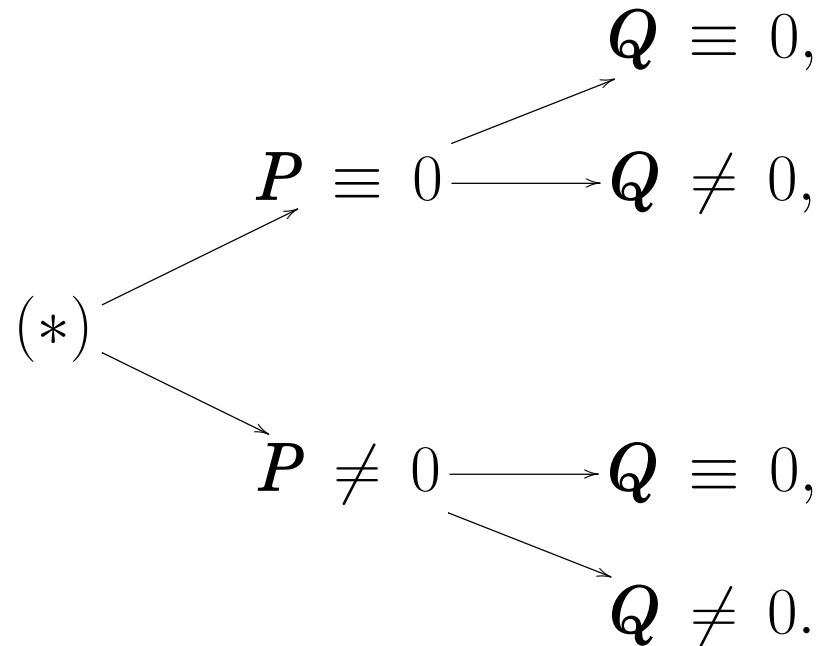
**Relocalizing finitely many times in neighborhoods of generic points**

Often, certain (relative) differential invariants are encountered:

$$P = P\left(x, y, u, \{u_{x^j y^k}\}_{1 \leq j+k \leq n}\right), \quad Q, \quad R, \quad \dots$$

Their zero-sets  $\{P = 0\}, \{Q = 0\}, \dots$ , are invariant under  $G$ .

They are responsible for the creation of *branches* and of further *sub-branches*:



• **Lie's principle of thought:**

- Either a (relative) differential invariant is identically zero.
- Or it is assumed to be nowhere zero, after restriction to a subset.

[Mixed cases are excluded from exploration.]

• **Two cases, two difficulties:**

- $P \neq 0$ . Divide by  $P \rightsquigarrow$  formulas explode.
- $P \equiv 0$ . How to express consequences?

- **Illustrate only in 2D:** Surface  $S^2$  in  $\mathbb{R}^3$  or in  $\mathbb{C}^3$ :

$$\begin{aligned} u &= F^0(x, y) \\ &= \sum_{j+k \geq 1} F_{j,k}^0 \frac{x^j}{j!} \frac{y^k}{k!} \end{aligned}$$

under  $\text{Eucl}(\mathbb{R}^3)$ , under  $\text{Aff}(\mathbb{R}^3)$ , *etc.*

- **Small hypothesis:** Assume  $G \supset$  translations, hence  $F(0, 0) = 0$ .

- **Normalize step by step:**

$$u = F^0(x, y) = F^{\text{initial}}(x, y),$$

$$u = F^1(x, y) = \sum_{j+k \geq 1} F_{j,k}^1 \frac{x^j}{j!} \frac{y^k}{k!},$$

$$u = F^2(x, y) = \sum_{j+k \geq 1} F_{j,k}^2 \frac{x^j}{j!} \frac{y^k}{k!},$$

$$u = F^3(x, y) = \sum_{j+k \geq 1} F_{j,k}^3 \frac{x^j}{j!} \frac{y^k}{k!}.$$

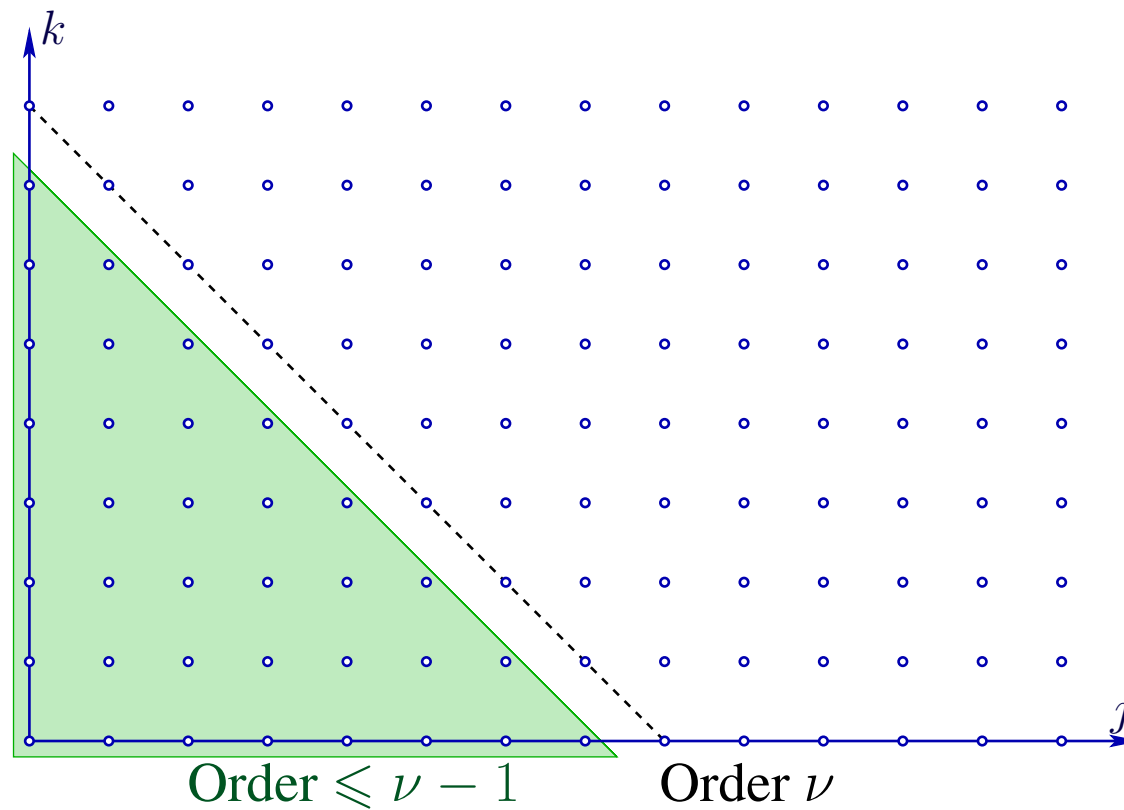
- **Keep memory:**

$$F_{j,k}^1 = F_{j,k}^1(F_{\bullet,\bullet}^0),$$

$$F_{j,k}^2 = F_{j,k}^2(F_{\bullet,\bullet}^1) = F_{j,k}^2(F_{\bullet,\bullet}^0),$$

$$F_{j,k}^3 = F_{j,k}^3(F_{\bullet,\bullet}^2) = F_{j,k}^3(F_{\bullet,\bullet}^1) = F_{j,k}^3(F_{\bullet,\bullet}^0).$$

- **Proceed order by order:**



- **In a current branch:** Assume the surface on the left is:

$$u = \underbrace{\sum_{j+k \leq \nu-1} F_{j,k}^n \frac{x^j}{j!} \frac{y^k}{k!}}_{\text{normalized}} + \sum_{j+k=\nu} \mathbf{F}_{j,k} \frac{x^j}{j!} \frac{y^k}{k!} + O_{x,y}(\nu+1),$$

that the group is reduced to a subgroup stabilizing order  $\leq \nu - 1$  normalizations:



$$G_{\text{stab}}^{\nu-1} \subset G,$$

that a similar surface is given on the right:

$$\bar{u} = \underbrace{\sum_{j+k \leq \nu-1} F_{j,k}^n \frac{\bar{x}^j}{j!} \frac{\bar{y}^k}{k!}}_{\text{same normalization}} + \sum_{j+k=\nu} \bar{\mathbf{F}}_{\mathbf{j},\mathbf{k}} \frac{\bar{x}^j}{j!} \frac{\bar{y}^k}{k!} + O_{\bar{x},\bar{y}}(\nu+1),$$

and try to normalize the  $\bar{\mathbf{F}}_{\mathbf{j},\mathbf{k}}$ .

- **Group reductions:**

$$G_{\text{stab}}^{\nu-1} \subset G_{\text{stab}}^{\nu-2} \subset \dots \subset G_{\text{stab}}^2 \subset G_{\text{stab}}^1 \subset G.$$

- **Compute the action for  $j+k=\nu$ :**

$$0 = - * \mathbf{F}_{\mathbf{j},\mathbf{k}} + * \bar{\mathbf{F}}_{\mathbf{j},\mathbf{k}} + \text{freedom to normalize.}$$

- **Two examples:**

$$0 = - a_{1,1}^2 F_{2,1} + a_{1,1}^2 a_{2,2} \bar{F}_{2,1},$$

$$0 = - a_{1,1}^2 F_{3,0} + a_{1,1}^3 \bar{F}_{3,0} + 3 a_{1,1}^2 a_{2,1} \bar{F}_{2,1} + 3 a_{1,1} \boxed{b_1}.$$

## Divide by 1

- **Question:** *What do you prefer, to divide by:*

**large differential polynomials?**

*Or to divide by:*

**one ?**

- **Quickly:** Sketch an equivalence method which divides only by 1.

[Mathematical Advertising]

- **Lagrange:** *A function is a power series.*

- **Lie:** *Infinitezimalize!*

- **Example:**

$$0 = -a_{1,1}^2 F_{2,1} + a_{1,1}^2 a_{2,2} \overline{F}_{2,1}.$$

In the branch where these are nonzero, one can normalize:

$$\overline{F}_{2,1} := 1 =: F_{2,1}.$$

- **Hidden behind is:** A complicated differential invariant:

$$\begin{aligned} F_{2,1} &= F_{2,1}(F_{\bullet,\bullet}^{\text{initial}}) \\ &= I_{2,1}. \end{aligned}$$

- **Function-theoretic Equivalence Method:** Forces to divide by:

$$I_{2,1} = \text{complicated expression.}$$

- **Power Series Equivalence Method:** Forces to divide by:

$$1 = \text{value at } (x, y) = (0, 0) \text{ of } I_{2,1}.$$

- **Conclusion:** Division by 1 works!

- **Summary:**

- Division by  $I$  causes computational explosion.
- In the branch  $I \neq 0$ , by working at the origin, it suffices to divide by 1.
- But what about the branch  $I \equiv 0$ ?
- Everything breaks down because only  $I(0) = 0$  is seen at the origin.

# Identically Vanishing Differential Invariant $I \equiv 0$

- **Remind the two examples:**

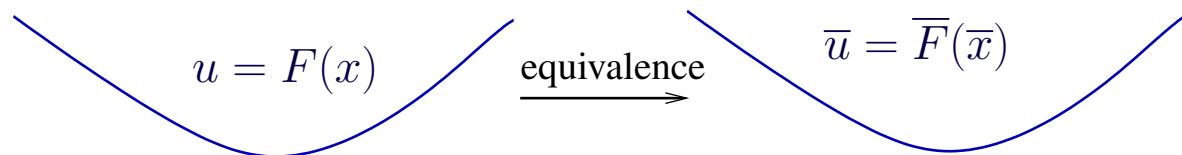
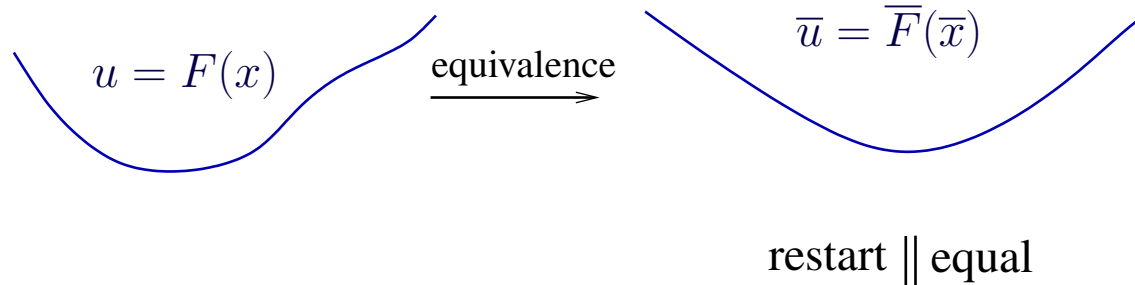
$$0 = -a_{1,1}^2 F_{2,1} + a_{1,1}^2 a_{2,2} \bar{F}_{2,1},$$

$$0 = -a_{1,1}^2 F_{3,0} + a_{1,1}^3 \bar{F}_{3,0} + 3 a_{1,1}^2 a_{2,1} \bar{F}_{2,1} + 3 a_{1,1} \boxed{b_1}.$$

- **Normalize:** Use free group parameter  $b_1$  to make:

$$\bar{F}_{3,0} := 0.$$

- **Restart:**



- **Thus:** Can assume both:

$$F_{3,0} = 0 = \overline{F}_{3,0}.$$

- **Reduce stability group:**

$$G_{\text{stab}}^{\nu} \Big|_{\text{step 1}} \subset G_{\text{stab}}^{\nu-1},$$

and continue to normalize all freely normalizable  $\overline{F}_{j,k}$ :

$$G_{\text{stab}}^{\nu} \subset \dots \subset G_{\text{stab}}^{\nu} \Big|_{\text{step 2}} \subset G_{\text{stab}}^{\nu} \Big|_{\text{step 1}} \subset G_{\text{stab}}^{\nu-1}.$$

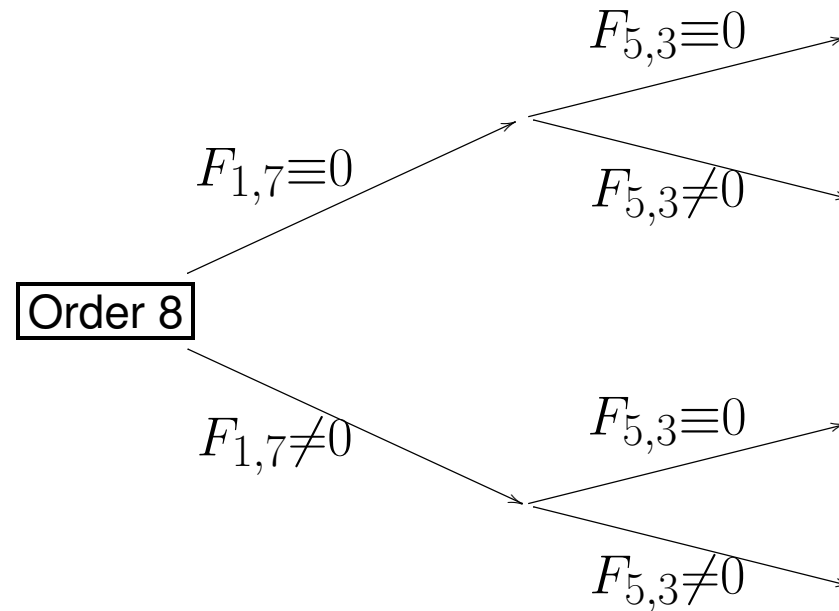
- **Remaining:**

$$F_{j,k} = \text{nonzero } \overline{F}_{j,k},$$

are relative invariants.

- **Method at order  $\nu$ :**

- Normalize all possible  $F_{j+k=\nu}$  to be 0.
- Leave aside relative invariants  $F_{j,k} \propto \overline{F}_{j,k}$ .
- Create dichotomic branching, for instance:



**Proposition.** [True in examples] *At order  $\nu$ , if a relative invariant:*

$$\begin{aligned} I_{j,k} &= F_{j,k}(F_{\bullet,\bullet}^{\text{initial}}) \\ &\equiv 0 \end{aligned}$$

*vanishes identically, then the graphing function  $F(x, y)$  satisfies a PDE:*

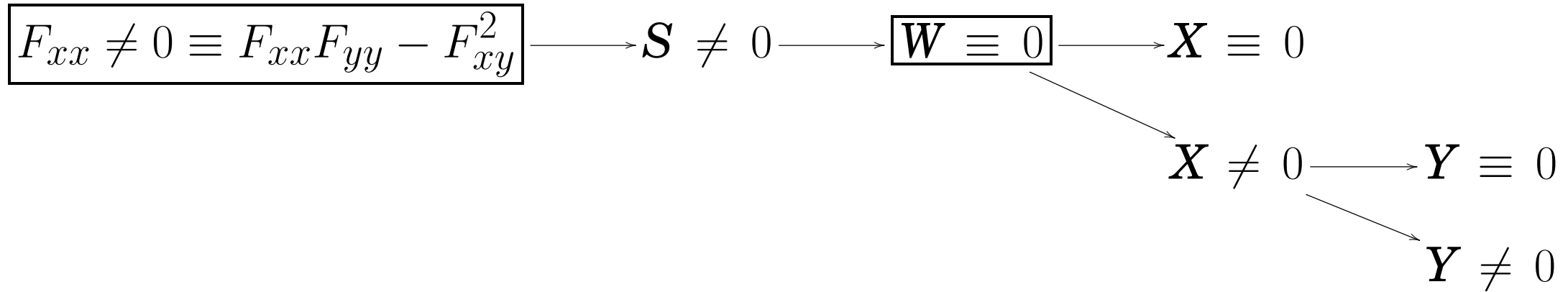
$$F_{x^j y^k} \equiv \frac{\text{something}}{\text{allowed denominator}}.$$

**Example.** A surface  $u = F(x, y)$  under  $\text{Aff}(\mathbb{R}^3)$  with rank 1 Hessian:

$$0 \equiv \begin{vmatrix} F_{xx} & F_{xy} \\ F_{yx} & F_{yy} \end{vmatrix} \quad \text{and} \quad 0 \neq F_{xx},$$

leads to:

$$F_{yy} \equiv \frac{F_{xy}^2}{F_{xx}}.$$



In such a branch, another relative invariant comes:

$$\mathbf{W} := I_{3,1} := \text{invariantization}(F_{xxxxy}),$$

equal to:

$$\mathbf{W} = \frac{F_{xx}^2 \mathbf{F}_{\mathbf{xxxxy}} - F_{xx} F_{xy} F_{xxxx} + 2 F_{xy} F_{xxx}^2 - 2 F_{xx} F_{xxx} F_{xxxx}}{\text{nonvanishing denominator}}.$$

and assuming  $\mathbf{W} \equiv 0$ , we may solve:

$$F_{xxxxy} \equiv \frac{F_{xy} F_{xxxx}}{F_{xx}} - 2 \frac{F_{xy} F_{xxx}^2}{F_{xx}^2} + 2 \frac{F_{xxx} F_{xxy}}{F_{xx}}.$$

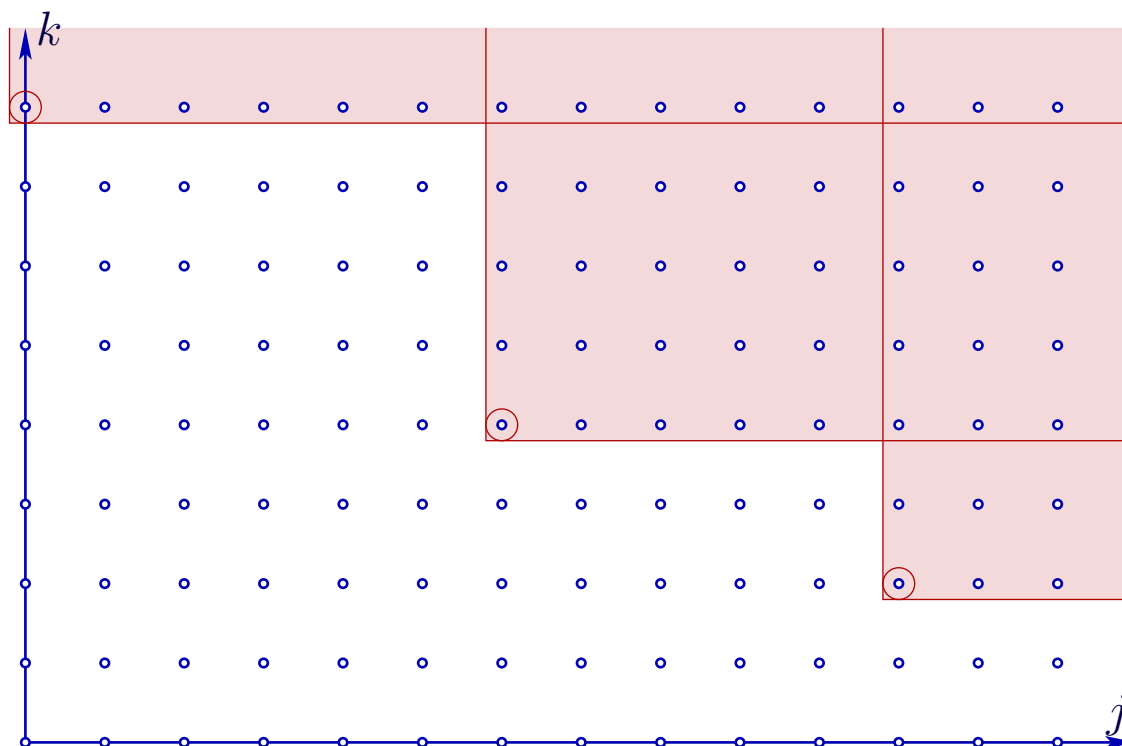
- **General example:** Suppose 3 invariants already vanish:

$$F_{y^8} \equiv \frac{\text{something}}{\text{denominator}},$$

$$F_{x^6 y^4} \equiv \frac{\text{something}}{\text{denominator}},$$

$$F_{x^{11} y^2} \equiv \frac{\text{something}}{\text{denominator}},$$

with 3 red-dependent quadrants:

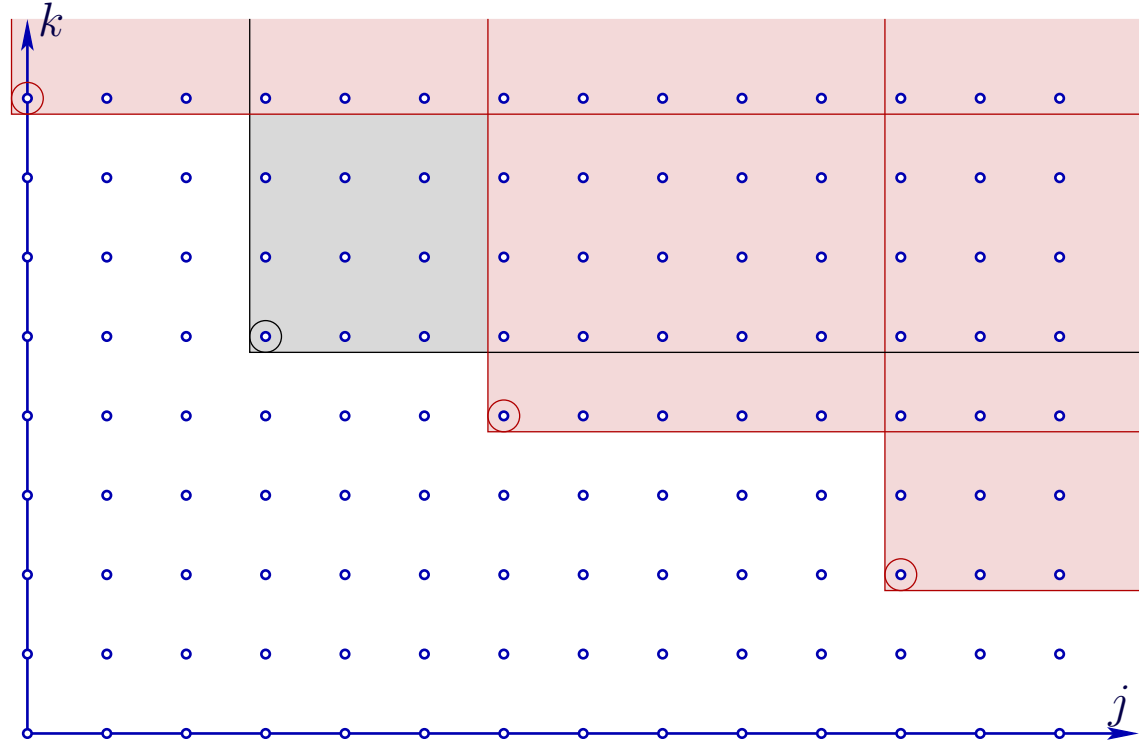




Then by computing, encounter another (relative) invariant  $I_{3,5}$  and open the new sub-branch:

$$I_{3,5}(F) \equiv 0$$

which creates a new quadrant of dependent monomials:



- **Question:** How to compute the dependent  $F_{j,k}$  in the red region?
- **Answer:** Compute invariants explicitly, and differentiate.

**Example.** Consider:

$$I_{3,1}(F_{\bullet,\bullet}) \equiv 0,$$

equivalent to:

$$F_{xxxxy} \equiv \frac{F_{xy} F_{xxxx}}{F_{xx}} - 2 \frac{F_{xy} F_{xxx}^2}{F_{xx}^2} + 2 \frac{F_{xxx} F_{xxy}}{F_{xx}}.$$

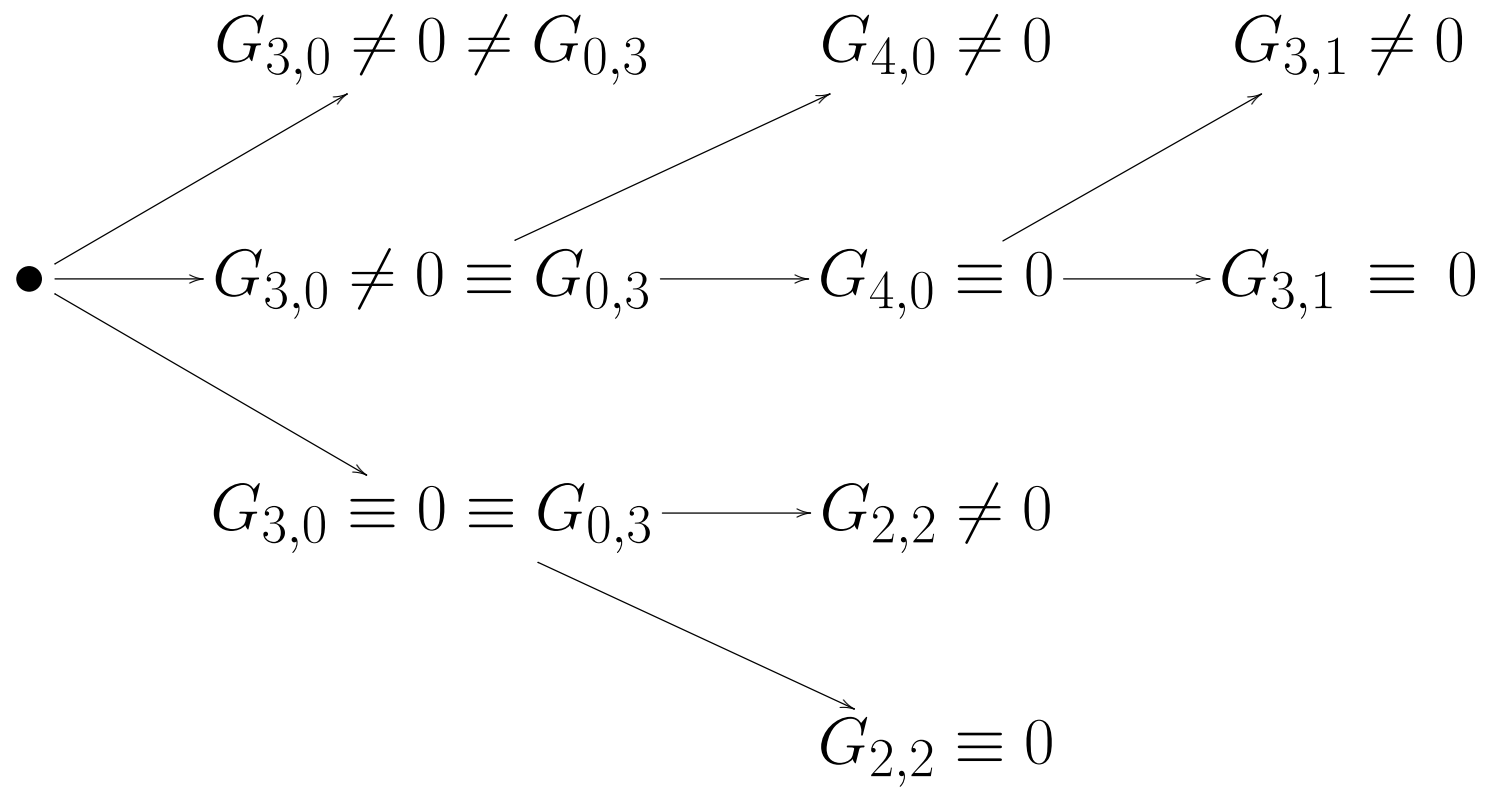
□ Determine all  $F_{x^j y^k}$  by differentiations and replacements.

□ Evaluate at  $(x, y) = (0, 0)$ .

**Example.** For surfaces  $S^2 \subset \mathbb{C}^3$  with rank 2 Hessian:

$$0 \neq \begin{vmatrix} F_{xx} & F_{xy} \\ F_{yx} & F_{yy} \end{vmatrix}$$

the branching tree is:



and one relative invariant  $G_{3,0} \equiv I_{3,0}$  is:

$$\begin{aligned}
I_{3,0} = & -\frac{1}{2\sqrt{2}(F_{2,0} + 2F_{1,1} + F_{0,2})^{\frac{3}{2}}(F_{1,1}^2 - F_{2,0}F_{0,2})^{\frac{3}{2}}} \\
& \times \left\{ -4F_{0,3}F_{1,1}^3 + 6F_{0,2}F_{1,1}^2F_{1,2} + 3F_{0,2}F_{0,3}F_{1,1}F_{2,0} - 6F_{0,3}F_{1,1}^2F_{2,0} - 3F_{0,2}^2F_{1,2}F_{2,0} + 9F_{0,2}F_{1,1}F_{1,2}F_{2,0} \right. \\
& + 3F_{0,2}F_{0,3}F_{2,0}^2 - 3F_{0,3}F_{1,1}F_{2,0}^2 + 9F_{0,2}F_{1,2}F_{2,0}^2 + 3F_{1,1}F_{1,2}F_{2,0}^2 - F_{0,3}F_{2,0}^3 + 4F_{0,3}F_{1,1}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} \\
& - 6F_{0,2}F_{1,1}F_{1,2}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} - F_{0,2}F_{0,3}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} + 6F_{0,3}F_{1,1}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} \\
& - 9F_{0,2}F_{1,2}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} + 3F_{0,3}F_{2,0}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} + 3F_{1,2}F_{2,0}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}} \\
& - 3F_{0,2}^2F_{1,1}F_{2,1} - 9F_{0,2}^2F_{2,0}F_{2,1} - 9F_{0,2}F_{1,1}F_{2,0}F_{2,1} - 6F_{1,1}^2F_{2,0}F_{2,1} + 3F_{0,2}F_{2,0}^2F_{2,1} \\
& + 3F_{0,2}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{2,1} - 9F_{0,2}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{2,1} - 6F_{1,1}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{2,1} + F_{0,2}^3F_{3,0} \\
& + 3F_{0,2}^2F_{1,1}F_{3,0} + 6F_{0,2}F_{1,1}^2F_{3,0} + 4F_{1,1}^3F_{3,0} - 3F_{0,2}^2F_{2,0}F_{3,0} - 3F_{0,2}F_{1,1}F_{2,0}F_{3,0} \\
& + 3F_{0,2}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{3,0} + 6F_{0,2}F_{1,1}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{3,0} + 4F_{1,1}^2\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{3,0} \\
& \left. - F_{0,2}F_{2,0}\sqrt{F_{1,1}^2 - F_{0,2}F_{2,0}}F_{3,0} \right\}
\end{aligned}$$

For  $I_{0,3}$ , just switch indices.

**Theorem.** [Chen-M. 2020] *In the second branch  $B_{2,1}$  where  $G_{3,0} \neq 0 \equiv G_{0,3}$  and  $G_{4,0} \neq 0$ , the following holds.*

**(1)** *The graphed equation normalizes as:*

$$u = xy + \frac{x^3}{6} + \frac{y^3}{6} + \frac{x^{24}}{24} + I_{3,1} \frac{x^3 y}{6} + I_{2,2} \frac{x^2 y^2}{4} + I_{1,3} \frac{xy^3}{6} + I_{0,4} \frac{y^4}{24} + \sum_{j+k \geq 5} I_{j,k}(F_{\bullet,\bullet}) \frac{x^j}{j!} \frac{y^k}{k!},$$

where all  $I_{j,k}$  are differential invariants.

**(2)** *The algebra of differential invariants is generated by  $I_{3,1}$ ,  $I_{2,2}$ ,  $I_{5,0}$  and all their invariant derivatives:  $\mathcal{D}_1^{\alpha_1} \mathcal{D}_2^{\alpha_2}(\bullet)$ , with  $\alpha_1, \alpha_2 \in \mathbb{N}$ . In particular,  $I_{4,1}$  can be solved:*

$$I_{4,1} = -8 I_{3,1}^2 + 2 I_{5,0} I_{3,1} + \mathcal{D}_x I_{3,1} + \frac{7}{2} I_{2,2} - 2 I_{3,1}.$$

**(3)** *The moduli space of all possible homogeneous models is exactly described, in the space  $\mathbb{C}^3 \ni (I_{3,1}, I_{2,2}, I_{5,0}, I_{4,1}, I_{3,2})$ , by the complex-algebraic variety of dimension 1 defined by the 4 + 3 equations:*

$$(E41) \quad 0 = I_{4,1} + 8I_{3,1}^2 - \frac{7}{2}I_{2,2} + 2I_{3,1} - 2I_{5,0}I_{3,1},$$

$$(E42) \quad 0 = 4I_{3,1}I_{2,2} + 2I_{3,1}^2 - 2I_{4,1}I_{3,1} + I_{3,2},$$

$$(E43) \quad 0 = 12I_{3,1}I_{2,2} - 3I_{5,0}I_{2,2} + 4I_{2,2} + I_{3,2},$$

$$(E44) \quad 0 = 6I_{2,2}^2 + 4I_{3,1}I_{2,2} - 3I_{4,1}I_{2,2},$$

$$(F51) \quad 0 = 24 I_{3,1}^2 I_{5,0} - 2 I_{5,0}^2 I_{3,1} - \frac{15}{2} I_{2,2} I_{5,0} + 7 I_{5,0} I_{3,1} \\ + \frac{21}{2} I_{2,2} - 64 I_{3,1}^3 + 36 I_{2,2} I_{3,1} - 40 I_{3,1}^2 - 6 I_{3,1},$$

$$(F52) \quad 0 = 30 I_{2,2} I_{3,1} + 72 I_{2,2} I_{3,1}^2 - 18 I_{2,2} I_{5,0} I_{3,1} - \frac{63}{4} I_{2,2}^2 \\ + 56 I_{3,1}^3 - 14 I_{3,1}^2 I_{5,0} + 12 I_{3,1}^2 + 64 I_{3,1}^4 - 32 I_{3,1}^3 I_{5,0} + 4 I_{5,0}^2 I_{3,1}^2,$$

$$(F53) \quad 0 = -I_{3,1} (-16 I_{3,1}^2 + 4 I_{3,1} I_{5,0} + 3 I_{2,2} - 6 I_{3,1}) \\ (-32 I_{3,1}^2 + 8 I_{3,1} I_{5,0} + 6 I_{2,2} - 13 I_{3,1}).$$

• **Strategy for determining homogeneous models:**

□ Write out the Lie-Fels-Olver recurrence formulas:

$$\mathcal{D}_j I_K^\alpha = I_{K,j}^\alpha + \sum_{1 \leq \sigma \leq r} \varphi_{\sigma K}^\alpha(I^{(K)}) \cdot K_j^\sigma(I^{(n_G+1)}).$$

□ Assume that all  $I_{j,k} = \text{constant}$ .

□ Left-hand sides become zero:

$$0 = I_{K,j}^\alpha + \sum_{1 \leq \sigma \leq r} \varphi_{\sigma K}^\alpha(I^{(K)}) \cdot K_j^\sigma(I^{(n_G+1)}).$$

□ Analyze the incoming algebraic equations.

# Infinite-Dimensional Lie Groups and Normal Forms

- **Pass to jet spaces:** [Lie, Olver]

$$\begin{array}{rcc}
 & \dots & \dots\dots\dots \\
 & \text{on} & J^2 M \subset J^2 N \\
 & \text{on} & J^1 M \subset J^1 N \\
 G \text{ acts on} & & M \subset N,
 \end{array}$$

until:

$$r = \dim G \ll \dim J^k M.$$

- **Question:** What if  $\dim G = \infty$ ?

**Assertion.** Thanks to Poincaré-Moser normal forms, the action boils down to a finite-dimensional action.

- **Example:** 2<sup>nd</sup> order ODEs: [Kamran, Shadwick, Hsu, ...]

$$y_{xx} = Q(x, y, y_x),$$

under fiber-preserving point transformations:

$$x' = f(x), \quad y' = g(x, y).$$

**Theorem.** [Foo-Hey-M. 2021] *Coordinates*  $(x, y)$  exist in which:

$$\begin{aligned} 0 &\equiv Q(x, y, 0), \\ 0 &\equiv Q_{yx}(0, y, 0), \\ 0 &\equiv Q_{yx}(x, 0, 0), \\ 0 &\equiv Q_{yxyx}(0, y, 0). \end{aligned}$$

*Furthermore, the stability group of such a normal form enjoys:*

$$\dim G_{\text{stab}} \leq 3.$$

• **Classification of Homogeneous models:**

□ [Hsu-Kamran 1989].

□ [Foo-M.-Heyd 2021].

• **Example:** Hypersurface  $M^3 \subset \mathbb{C}^2$  in coordinates:

$$z = x + iy, \quad w = u + iv,$$

under  $\text{Bihol}(\mathbb{C}^2)$ :



$$\begin{aligned}
z' &= \sum_{j+k \geq 1} f_{j,k} z^j w^k \\
&= \sum_j z^j f_j(w),
\end{aligned}$$

$$\begin{aligned}
w' &= \sum_{j+k \geq 1} g_{j,k} z^j w^k \\
&= \sum_j z^j g_j(w).
\end{aligned}$$

Graphed hypersurface:

$$\begin{aligned}
v &= F(z, \bar{z}, u) \\
&= \sum_{j+k+l \geq 1} F_{j,k,l} z^j \bar{z}^k u^l \\
&= \sum_{j,k} z^j \bar{z}^k F_{j,k}(u),
\end{aligned}$$

with:

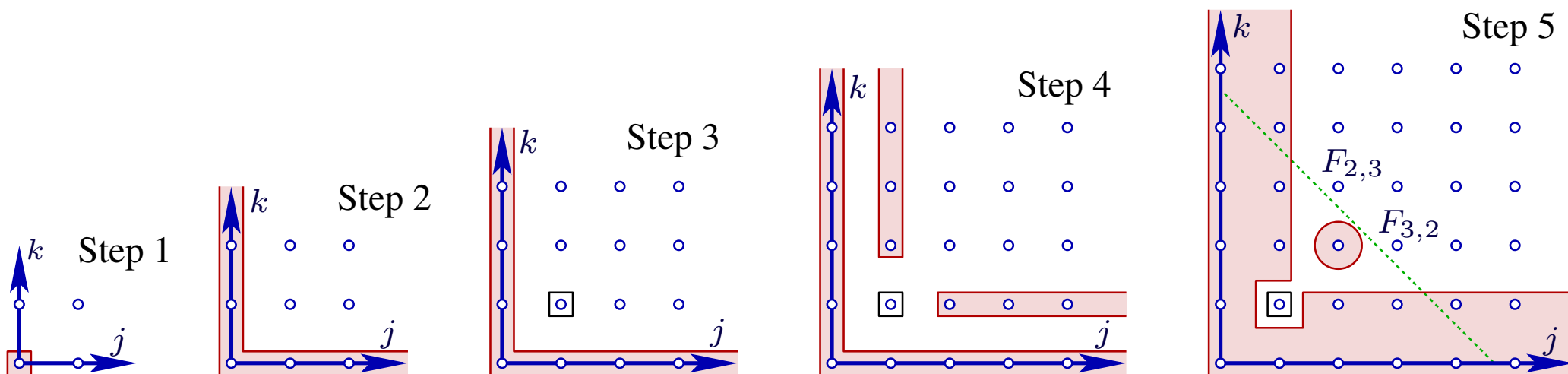
$$\overline{F_{k,j}(u)} = F_{j,k}(u).$$

• **Levi determinant:**

$$0 \neq \text{Levi}(F) := \begin{vmatrix} 0 & F_z & -\frac{1}{2i} + \frac{1}{2}F_u \\ F_{\bar{z}} & F_{z\bar{z}} & \frac{1}{2}F_{\bar{z}u} \\ \frac{1}{2i} + \frac{1}{2}F_u & \frac{1}{2}F_{zu} & \frac{1}{4}F_{uu} \end{vmatrix}.$$

**Theorem.** [Moser 1974] *Holomorphic coordinates  $(z, w)$  exist in which:*

$$v = z\bar{z} + z^4\bar{z}^2 F_{4,2}(u) + z^2\bar{z}^4 F_{2,4}(u) + \sum_{\substack{j+k \geq 7 \\ j \geq 2, k \geq 2}} z^j \bar{z}^k F_{j,k}(u).$$



Successive annihilations (red dashed regions) of coefficient-functions  $F_{j,k}(u)$  in the graphing function  $v = \sum_{j,k} z^j \bar{z}^k F_{j,k}(u)$  thanks to Moser's normalization process, with  $F_{1,1}(u) \equiv 1$ , until first occurrence of chains.

• **Equivalently:**

$$0 \equiv F_{j,0}(u) \equiv F_{0,k}(u) \equiv F_{j,1}(u) \equiv F_{1,k}(u), \quad 1 \equiv F_{1,1}(u),$$

$(j \neq 1) \qquad (1 \neq k)$

$$0 \equiv F_{3,2}(u) \equiv F_{2,3}(u) \equiv F_{3,3}(u).$$

**Theorem.** [Moser 1974] The stability subgroup  $G \subset \text{Bihol}(\mathbb{C}^2)$  sending:

$$v = F = z\bar{z} + z^4\bar{z}^2 F_{4,2}(u) + z^2\bar{z}^4 F_{2,4}(u) + \sum_{\substack{j+k \geq 7 \\ j \geq 2, k \geq 2}} z^j \bar{z}^k F_{j,k}(u),$$

to:

$$v' = F' = z'\bar{z}' + z'^4\bar{z}'^2 F'_{4,2}(u') + z'^2\bar{z}'^4 F'_{2,4}(u') + \sum_{\substack{j+k \geq 7 \\ j \geq 2, k \geq 2}} z'^j \bar{z}'^k F'_{j,k}(u'),$$

is finite-dimensional:

$$\dim_{\mathbb{R}} G_{\text{stab}} \leq 5.$$

## Cartan's Classification of $M^3 \subset \mathbb{C}^2$ Redone

• **Cartan 1932:** *Si une hypersurface admettant un groupe pseudo-conforme transitif n'est pas localement équivalente à l'hypersphère, elle est globalement équivalente à l'une des hypersurfaces suivantes ou à l'une de leurs variétés de recouvrement:*

$$\begin{aligned}
 1^\circ (E) \quad & \frac{y - \bar{y}}{2i} = \left( \frac{x - \bar{x}}{2i} \right)^m, \quad \text{avec } \frac{x - \bar{x}}{2i} > 0 && (|m| \geq 1, m \neq 1, 2); \\
 2^\circ (F) \quad & \frac{y - \bar{y}}{2i} = e^{\frac{x - \bar{x}}{y - \bar{y}}}; \\
 3^\circ (H) \quad & (x - \bar{x})^2 + (y - \bar{y})^2 + 4e^{2m \arctan \frac{x - \bar{x}}{y - \bar{y}}} = 0; \\
 4^\circ (K) \quad & 1 + x\bar{x} - y\bar{y} = \mu |1 + x^2 - y^2|, \quad \text{avec } \frac{x(1 + \bar{y}) - \bar{x}(1 + y)}{i} > 0 && (\mu > 1); \\
 5^\circ (K') \quad & x\bar{x} + y\bar{y} - 1 = \mu |x^2 + y^2 - 1|, \quad \text{sauf les points réels } (|\mu| < 1, \mu \neq 0); \\
 6^\circ (L) \quad & x_1\bar{x}_1 + x_2\bar{x}_2 + x_3\bar{x}_3 = \mu |x_1\bar{x}_1 + x_2\bar{x}_2 + x_3\bar{x}_3| && (\mu > 1).
 \end{aligned}$$

### • Proof:

- Tresse 1896, Segre 1930, Bianchi classification of 3D Lie algebras.
- Realizations of Lie algebras of holomorphic vector fields.
- Confirmation by Cartan's Equivalence Method.

- **Alternative proof:** Start from Moser's normal form, reduce  $G^5$ .

PHANTOM	$\text{Im } F_{421}$	$\text{Re } F_{422} + i \text{Im } F_{422}$	$F_{423}$
$F_{420}$	$F_{521}$	$F_{522}$	$F_{523}$
$\text{Re } F_{520} + i \text{Im } F_{520}$	$F_{431}$	$F_{432}$	$F_{433}$
$F_{620}$	$F_{621}$	$F_{622}$	
$F_{530}$	$F_{531}$	$F_{532}$	
$F_{440}$	$F_{441}$	$F_{442}$	
$F_{720}$	$F_{721}$	$F_{722}$	
$F_{630}$	$F_{631}$	$F_{632}$	
$F_{540}$	$F_{541}$	$F_{542}$	
$F_{820}$	$F_{821}$		
$F_{730}$	$F_{731}$		
$F_{640}$	$F_{641}$		
$F_{550}$	$F_{551}$		
$F_{920}$			
$F_{830}$			
$F_{740}$			
$F_{650}$			

• **Result of computations:** For any non-spherical homogeneous  $M^3 \subset \mathbb{C}^2$ , the only non-linearly solvable Taylor coefficients are:

$$\begin{aligned} a + i b &:= F_{5,2,0}, \\ c &:= F_{4,4,0}, \\ d &:= \operatorname{Im} F_{4,2,1}. \end{aligned}$$

**Theorem.** *In the branch  $F_{4,2,0} = 1$ , homogeneous  $M^3 \subset \mathbb{C}^2$  are simply transitive and 1:1 parametrized [Up to discrete equivalence] by the variety:*

$$\begin{aligned} 0 &= b (576 c + 64 d - 25 a^2 - 25 b^2), \\ 0 &= a (576 c - 64 d + 25 a^2 + 25 b^2), \\ 0 &= a b d, \\ 0 &= 384 + 125 b^2 d - 125 a^2 d - 1152 c d + 3000 a^2 c + 3000 b^2 c. \end{aligned}$$

*Moreover, 3 holomorphic vector field generators  $e_1, e_2, e_3$  with:*

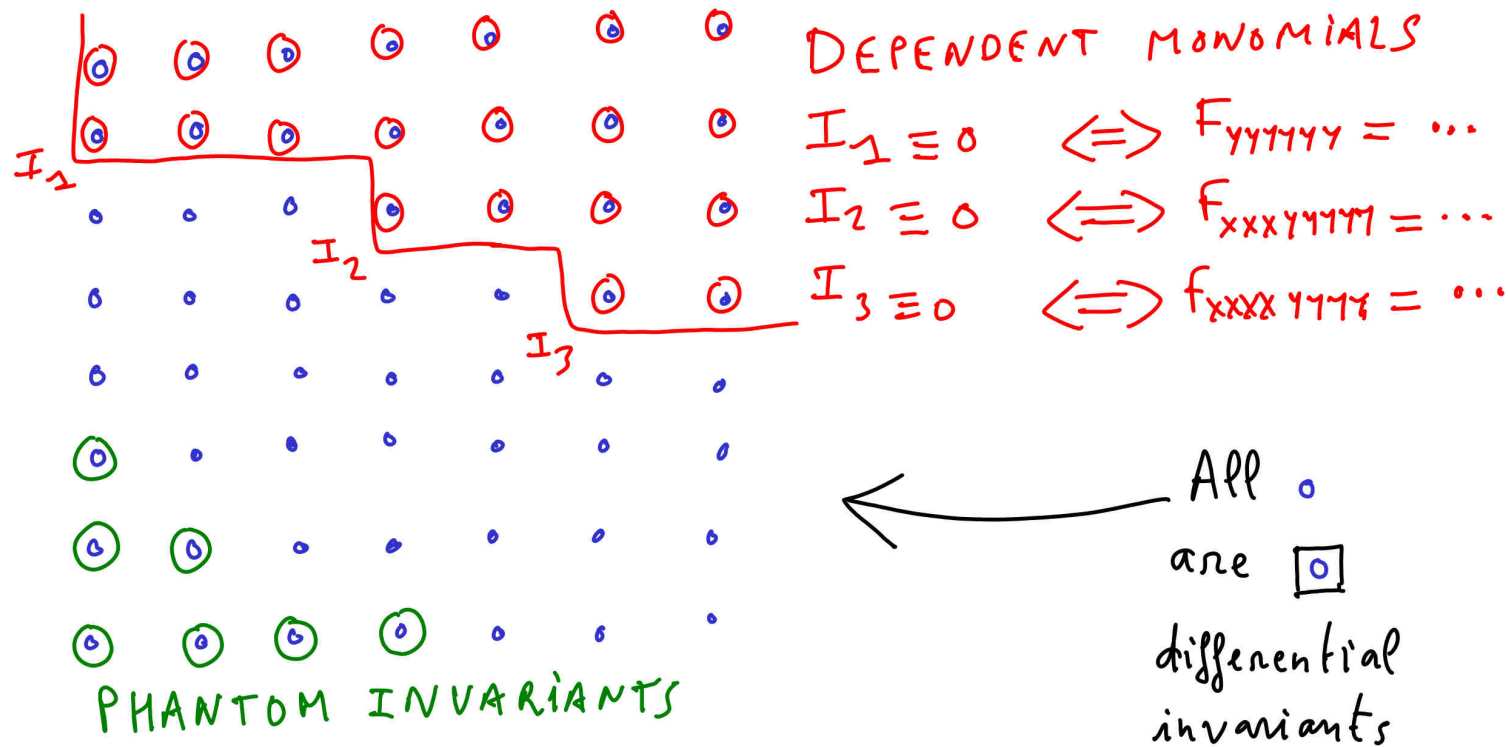
$$e_1|_0 = \partial_z, \quad e_2|_0 = i \partial_z, \quad e_3|_0 = \partial_w,$$

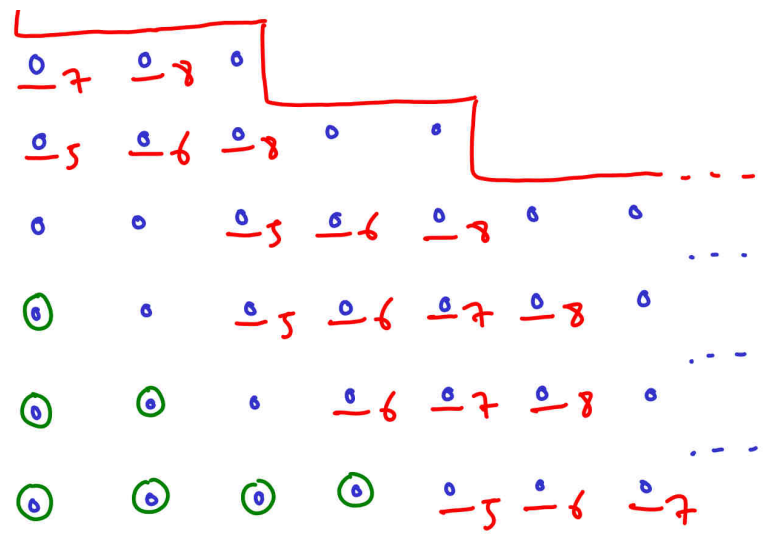
*have structure:*

$$\begin{aligned} [e_1, e_2] &= \left(-\frac{5}{4} b\right) e_1 + \left(-\frac{5}{4} a\right) e_2 + 4 e_3, \\ [e_1, e_3] &= \left(-\frac{2}{3} d - 6 c + \frac{25}{96} a^2 + \frac{25}{96} b^2\right) e_2 + \left(\frac{5}{2} a\right) e_3, \\ [e_2, e_3] &= \left(\frac{2}{3} d - 6 c - \frac{25}{96} a^2 - \frac{25}{96} b^2\right) e_1 + \left(-\frac{5}{2} b\right) e_3. \end{aligned}$$

# Sketch of General Power Series Method of Equivalence

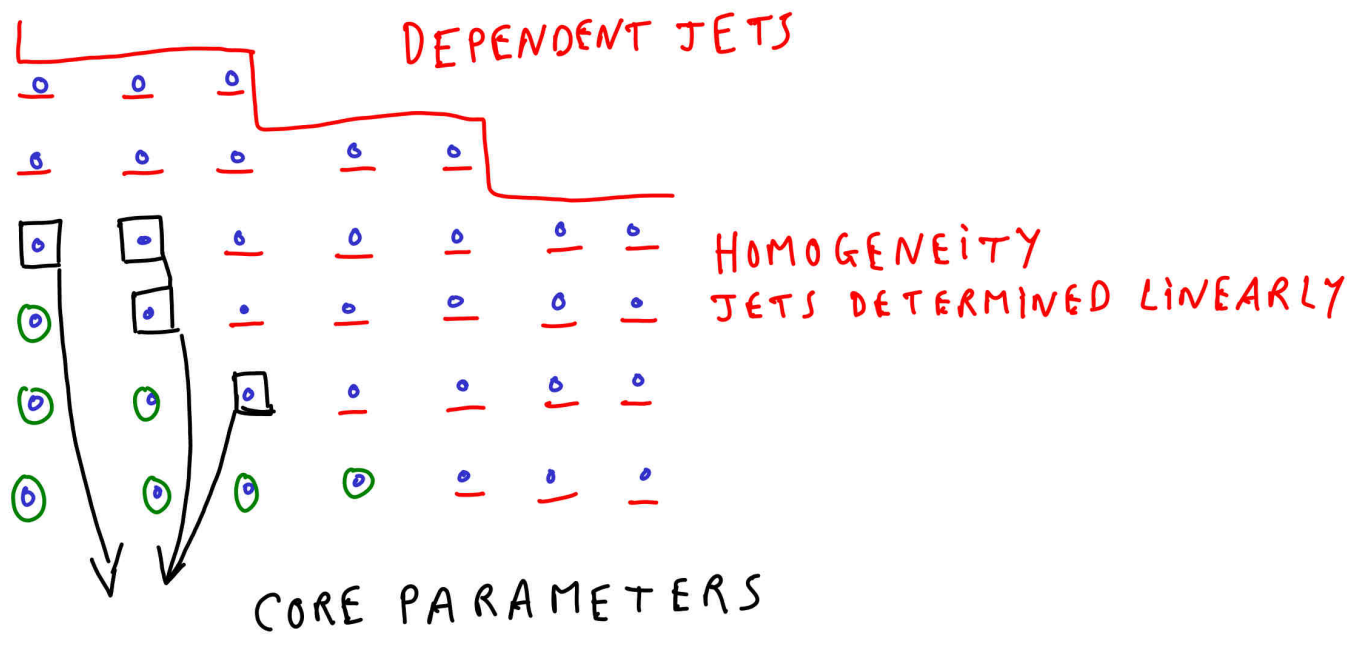
Homogeneous structures with finite-dimensional Lie group





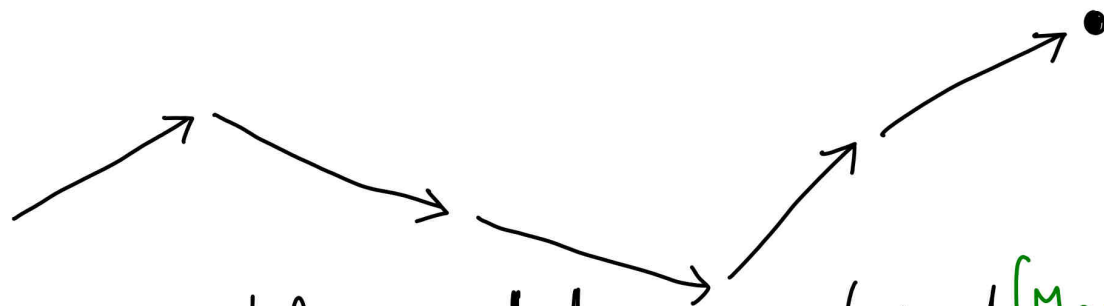
TRANSITIVITY;  
 All but finitely many  
 $F_{ijk}$  are uniquely  
 determined

It remains:





Thm: [Informal, but very general] In any branch end



Homogeneous models are 1:1 parametrized [MODULI SPACE]

by an invariant algebraic variety with explicit

$$\text{Equations (One Parameters)} = 0$$

## Homogeneous $\mathfrak{C}_{2,1}$ Hypersurfaces $M^5 \subset \mathbb{C}^3$

In coordinates  $\mathbb{C}^3 \ni (z, \zeta, w = u + \sqrt{-1}v)$ , the graphed representation of the flat model is [Gaussier-M. 2003]:

$$u = \frac{z\bar{z} + \frac{1}{2}\bar{z}^2\zeta + \frac{1}{2}z^2\bar{\zeta}}{1 - \zeta\bar{\zeta}} =: m(z, \zeta, \bar{z}, \bar{\zeta}).$$

The 5-dimensional Lie group of its automorphisms fixing the origin writes:

$$\begin{aligned} z' &:= \lambda \frac{z + i\alpha z^2 + (i\alpha\zeta - i\bar{\alpha})w}{1 + 2i\alpha z - \alpha^2 z^2 - (\alpha^2\zeta - \alpha\bar{\alpha} + i\rho)w}, \\ \zeta' &:= \frac{\lambda}{\bar{\lambda}} \frac{\zeta + 2i\bar{\alpha}z - (\alpha\bar{\alpha} + i\rho)z^2 + (\bar{\alpha}^2 - i\rho\zeta - \alpha\bar{\alpha}\zeta)w}{1 + 2i\alpha z - \alpha^2 z^2 - (\alpha^2\zeta - \alpha\bar{\alpha} + i\rho)w}, \\ w' &:= \lambda\bar{\lambda} \frac{w}{1 + 2i\alpha z - \alpha^2 z^2 - (\alpha^2\zeta - \alpha\bar{\alpha} + i\rho)w}, \end{aligned}$$

where  $\lambda \in \mathbb{C}^*$ ,  $\alpha \in \mathbb{C}$ ,  $\rho \in \mathbb{R}$  are free.

A general  $\mathfrak{C}_{2,1}$  hypersurface  $M^5 \subset \mathbb{C}^3$  with  $0 \in M$  writes as a perturbation of this model:

$$u = F(z, \zeta, \bar{z}, \bar{\zeta}, v) = m(z, \zeta, \bar{z}, \bar{\zeta}) + G(z, \zeta, \bar{z}, \bar{\zeta}, v),$$

where:

$$F = \sum_{h,i,j,k,l} z^h \zeta^i \bar{z}^j \bar{\zeta}^k v^l F_{h,i,j,k,l} = \sum_{h,i,j,k} z^h \zeta^i \bar{z}^j \bar{\zeta}^k F_{h,i,j,k}(v),$$

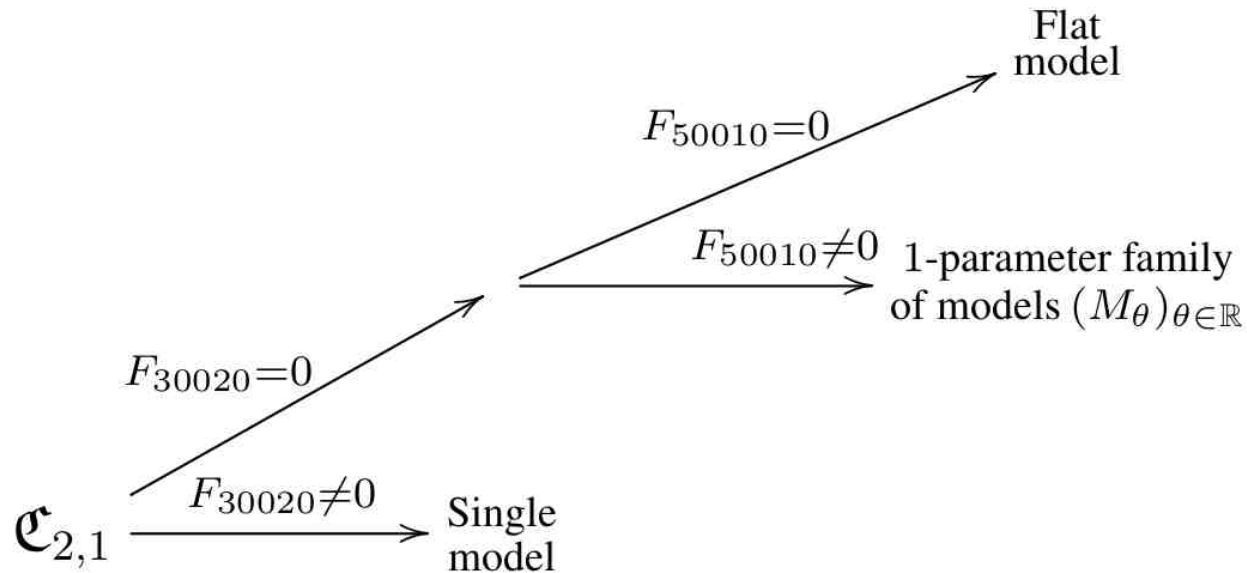
with  $\overline{F_{h,i,j,k,l}} = F_{j,k,h,i,l}$ , with  $0 = F_{0,0,0,0,0}$ , and the same for  $G$ .

**Theorem.** [Kolar-Kossovskiy 2019, Foo-M.-Ta 2020] *A convergent Poincaré-Moser normal form is:*

$$\begin{aligned} 0 &\equiv F_{h,i,0,0}(v), & 0 &\equiv F_{3,0,0,1}(v), \\ 0 &\equiv F_{h,i,1,0}(v), & 0 &\equiv F_{4,0,0,1}(v) \equiv F_{3,0,1,1}(v), \\ 0 &\equiv F_{h,i,2,0}(v), & 0 &\equiv F_{4,0,1,1}(v) \equiv F_{3,0,3,0}(v), \end{aligned}$$

with the exceptions  $1 \equiv F_{1,0,1,0}(v)$  and  $\frac{1}{2} \equiv F_{2,0,0,1}(v)$ .

• **Question:** What about homogeneous models? [Fels-Kaup 2008: Lie-theoretic methods] Applying the power series method of equivalence, we find the following tree:



In the branch  $F_{3,0,0,2,0} = 0$  and  $F_{5,0,0,1,0} = 1$ , three supplementary (real) normalizations hold:

$$\begin{aligned}
 F_{6,0,0,1,0} &:= 0, & \text{so } \alpha &:= 0, \\
 \text{Im } F_{4,0,3,0,0} &:= 0, & \text{so } \rho &:= 0,
 \end{aligned}$$

so that the isotropy is reduced to be zero-dimensional. Notably, a constant value for  $F_{3,0,2,1,0} = -15$  is also implied.

Furthermore, abbreviating:

$$\theta := \text{Re } F_{4,0,3,0,0},$$

which is a *free* absolute invariant, all coefficients  $F_{h,i,j,k,l} \in \mathbb{C}$  are uniquely determined in terms of  $\theta \in \mathbb{R}$ , with:

$$F = F^2 + F^3 + F^4 + F^5 + F^6 + F^7 + F^8 + F^9 + F^{10} + O_{z,\zeta,\bar{z},\bar{\zeta},v}(11),$$

where:

$$F^2 = z\bar{z},$$

$$F^3 = \frac{1}{2} \bar{z}^2 \zeta + \frac{1}{2} z^2 \bar{\zeta},$$

$$F^4 = z\bar{z}\zeta\bar{\zeta},$$

$$F^5 = \frac{1}{2} z^2 \zeta \bar{\zeta}^2 + \frac{1}{2} \zeta^2 \bar{z}^2 \bar{\zeta},$$

$$F^6 = -15 z^3 \bar{z}^2 \bar{\zeta} + z \zeta^2 \bar{z} \bar{\zeta}^2 + \zeta \bar{z}^5 + z^5 \bar{\zeta} - 15 z^2 \zeta \bar{z}^3,$$

$$\begin{aligned} F^7 = & \frac{3}{2} z^5 \bar{\zeta}^2 + \theta z^4 \bar{z}^3 - 45 z^2 \zeta \bar{z}^3 \bar{\zeta} + \frac{1}{2} z^2 \zeta^2 \bar{\zeta}^3 + \theta z^3 \bar{z}^4 - \frac{15}{2} z^4 \bar{z} \bar{\zeta}^2 + 5 z \zeta \bar{z}^4 \bar{\zeta} \\ & - 10 z^3 \bar{z}^2 \bar{\zeta}^2 + \frac{3}{2} \zeta^2 \bar{z}^5 - 45 z^3 \zeta \bar{z}^2 \bar{\zeta} - \frac{15}{2} z \zeta^2 \bar{z}^4 - 10 z^2 \zeta^2 \bar{z}^3 + \frac{1}{2} \zeta^3 \bar{z}^2 \bar{\zeta}^2 \\ & + 5 z^4 \zeta \bar{z} \bar{\zeta}, \end{aligned}$$

$$\begin{aligned}
F^8 = & z\zeta^3\bar{z}\bar{\zeta}^3 - 20z^3\zeta^2\bar{z}^2\bar{\zeta} - 75z^3\zeta\bar{z}^2\bar{\zeta}^2 - 75z^2\zeta^2\bar{z}^3\bar{\zeta} - \frac{75}{2}z\zeta^2\bar{z}^4\bar{\zeta} \\
& - \frac{75}{2}z^4\zeta\bar{z}\bar{\zeta}^2 - 20z^2\zeta\bar{z}^3\bar{\zeta}^2 - \frac{1}{5}\theta z^6\bar{z}\bar{\zeta} + 2\theta z^4\zeta\bar{z}^3 + \frac{12}{5}\theta z^5\bar{z}^2\bar{\zeta} \\
& + 3\theta z^4\bar{z}^3\bar{\zeta} + 2\theta z^3\bar{z}^4\bar{\zeta} + 3\theta z^3\zeta\bar{z}^4 + \frac{12}{5}\theta z^2\zeta\bar{z}^5 - \frac{1}{5}\theta z\zeta\bar{z}^6 - 5z^4\bar{z}\bar{\zeta}^3 \\
& - 5z\zeta^3\bar{z}^4 + 5\zeta^2\bar{z}^5\bar{\zeta} + 5z^5\zeta\bar{\zeta}^2 - \frac{1}{35}\theta\zeta\bar{z}^7 - \frac{1}{35}\theta z^7\bar{\zeta} - \frac{3}{2}z^5\bar{\zeta}^3 - 130z^5\bar{z}^3 \\
& - \frac{325}{6}z^4\bar{z}^4 - 130z^3\bar{z}^5 - \frac{3}{2}\zeta^3\bar{z}^5,
\end{aligned}$$

$$\begin{aligned}
F^9 = & \theta z^3\bar{z}^4\bar{\zeta}^2 + \theta z^4\zeta^2\bar{z}^3 - 165z^2\zeta^2\bar{z}^3\bar{\zeta}^2 - 40z^3\zeta\bar{z}^2\bar{\zeta}^3 - 165z^3\zeta^2\bar{z}^2\bar{\zeta}^2 \\
& - 5z^4\zeta^2\bar{z}\bar{\zeta}^2 - 5z\zeta^2\bar{z}^4\bar{\zeta}^2 - \frac{75}{2}z^4\zeta\bar{z}\bar{\zeta}^3 - \frac{75}{2}z\zeta^3\bar{z}^4\bar{\zeta} - 40z^2\zeta^3\bar{z}^3\bar{\zeta} \\
& + \frac{18}{5}\theta z^5\bar{z}^2\bar{\zeta}^2 + 3\theta z^4\bar{z}^3\bar{\zeta}^2 + 2\theta z^6\bar{z}\bar{\zeta}^2 + \frac{18}{5}\theta z^2\zeta^2\bar{\zeta}^5 + 2\theta z\zeta^2\bar{z}^6 \\
& + 3\theta z^3\zeta^2\bar{\zeta}^4 - 5\sqrt{-1}z^6\bar{\zeta}v + 5\sqrt{-1}\zeta\bar{z}^6v + \frac{24}{5}\theta z^2\zeta\bar{z}^5\bar{\zeta} + 12\theta z^4\zeta\bar{z}^3\bar{\zeta} \\
& + \frac{24}{5}\theta z^5\zeta\bar{z}^2\bar{\zeta} + 12\theta z^3\zeta\bar{z}^4\bar{\zeta} - \frac{1}{5}\theta z\zeta\bar{z}^6\bar{\zeta} - \frac{1}{5}\theta z^6\zeta\bar{z}\bar{\zeta} - 100\sqrt{-1}z^3\bar{z}^3\bar{\zeta}v \\
& - 30\sqrt{-1}z^5\bar{z}\bar{\zeta}v - 75\sqrt{-1}z^4\bar{z}^2\bar{\zeta}v - \frac{335}{3}z^5\bar{z}^3\bar{\zeta} + 5z\zeta\bar{z}^7 - \frac{6}{35}\theta\zeta^2\bar{\zeta}^7 - \frac{335}{3}z^3\zeta\bar{z}^5 \\
& + \frac{1}{2}z^2\zeta^3\bar{\zeta}^4 + \frac{475}{2}z^4\bar{z}^4\bar{\zeta} - 455z^2\zeta\bar{z}^6 - \frac{6}{25}\theta^2z^5\bar{z}^4 - 190z^5\zeta\bar{z}^3 - \frac{6}{35}\theta z^7\bar{\zeta}^2 \\
& + \frac{1}{2}\zeta^4\bar{z}^2\bar{\zeta}^3 - 455z^6\bar{z}^2\bar{\zeta} + 5z^7\bar{z}\bar{\zeta} - \frac{6}{25}\theta^2z^4\bar{z}^5 - 190z^3\bar{z}^5\bar{\zeta} - \frac{4}{25}\theta^2z^6\bar{z}^3 \\
& - \frac{4}{25}\theta^2z^3\bar{z}^6 - \frac{15}{2}z^5\zeta\bar{\zeta}^3 - \frac{15}{2}\zeta^3\bar{z}^5\bar{\zeta} - z^5\bar{\zeta}^4 - \zeta^4\bar{z}^5 + \frac{25}{4}\zeta\bar{z}^8 + \frac{25}{4}z^8\bar{\zeta} \\
& + 30\sqrt{-1}z\zeta\bar{z}^5v + 100\sqrt{-1}z^3\zeta\bar{z}^3v + 75\sqrt{-1}z^2\zeta\bar{z}^4v + \frac{475}{2}z^4\zeta\bar{z}^4,
\end{aligned}$$

$$\begin{aligned}
F^{10} = & z\zeta^4\bar{z}\bar{\zeta}^4 + \theta z^3\zeta^3\bar{z}^4 + \theta z^4\bar{z}^3\bar{\zeta}^3 - 210 z^3\zeta^2\bar{z}^2\bar{\zeta}^3 - 20 z^4\zeta\bar{z}\bar{\zeta}^4 + 105 z^5\zeta\bar{z}^3\bar{\zeta} \\
& - 210 z^2\zeta^3\bar{z}^3\bar{\zeta}^2 - \frac{1525}{2} z^2\zeta\bar{z}^6\bar{\zeta} - \frac{1525}{2} z^6\zeta\bar{z}^2\bar{\zeta} - \frac{255}{2} z^4\zeta^2\bar{z}\bar{\zeta}^3 \\
& + 1725 z^4\zeta\bar{z}^4\bar{\zeta} - 20 z\zeta^4\bar{z}^4\bar{\zeta} - 70 z^3\bar{\zeta}^3\bar{z}^2\bar{\zeta}^2 - \frac{255}{2} z\zeta^3\bar{z}^4\bar{\zeta}^2 - 70 z^2\zeta^2\bar{z}^3\bar{\zeta}^3 \\
& + 105 z^3\zeta\bar{z}^5\bar{\zeta} + 50 z^7\zeta\bar{z}\bar{\zeta} + 50 z\zeta\bar{z}^7\bar{\zeta} + \frac{3}{175} \theta^2 z\zeta\bar{z}^8 + \frac{3}{175} \theta^2 z^8\bar{z}\bar{\zeta} \\
& - \frac{4}{5} \theta^2 z^3\zeta\bar{z}^6 + 2\theta z\zeta^3\bar{z}^6 + 2\theta z^6\bar{z}\bar{\zeta}^3 + \frac{12}{5} \theta z^5\bar{z}^2\bar{\zeta}^3 - \frac{18}{25} \theta^2 z^5\zeta\bar{z}^4 \\
& - \frac{8}{25} \theta^2 z^6\zeta\bar{z}^3 - \frac{18}{25} \theta^2 z^4\bar{z}^5\bar{\zeta} - \frac{8}{25} \theta^2 z^3\bar{z}^6\bar{\zeta} - \frac{72}{175} \theta^2 z^2\zeta\bar{\zeta}^7 - \frac{4}{5} \theta^2 z^6\bar{z}^3\bar{\zeta} \\
& - \frac{24}{25} \theta^2 z^5\bar{z}^4\bar{\zeta} - \frac{24}{25} \theta^2 z^4\zeta\bar{z}^5 + \frac{12}{5} \theta z^2\zeta^3\bar{z}^5 - \frac{72}{175} \theta^2 z^7\bar{z}^2\bar{\zeta} - \frac{1}{5} \theta \zeta^2\bar{z}^7\bar{\zeta} \\
& - \frac{1}{5} \theta z^7\zeta\bar{\zeta}^2 - 20\sqrt{-1} z^6\bar{\zeta}v + 20\sqrt{-1} \zeta^2\bar{z}^6v + 24\theta z^3\zeta^2\bar{z}^4\bar{\zeta} + \frac{18}{5} \theta z^6\zeta\bar{z}\bar{\zeta}^2 \\
& + \frac{12}{5} \theta z^2\zeta\bar{z}^5\bar{\zeta}^2 + \frac{18}{5} \theta z\zeta^2\bar{z}^6\bar{\zeta} + 15\theta z^4\zeta^2\bar{z}^3\bar{\zeta} + 15\theta z^3\zeta\bar{z}^4\bar{\zeta}^2 \\
& + \frac{12}{5} \theta z^5\zeta^2\bar{z}^2\bar{\zeta} + 18\theta z^2\zeta^2\bar{z}^5\bar{\zeta} + 18\theta z^5\zeta\bar{z}^2\bar{\zeta}^2 + 24\theta z^4\zeta\bar{z}^3\bar{\zeta}^2 \\
& - 150\sqrt{-1} z^4\bar{z}^2\bar{\zeta}^2v - 16\sqrt{-1}\theta z^3\bar{z}^5v - 100\sqrt{-1} z^3\bar{z}^3\bar{\zeta}^2v - 90\sqrt{-1} z^5\bar{z}\bar{\zeta}^2v + F_{5,0,5,0,0} z^5\bar{z}^5 \\
& - \frac{15}{2} z^5\zeta\bar{\zeta}^4 + 5\zeta^3\bar{z}^5\bar{\zeta}^2 + 5z^5\zeta^2\bar{\zeta}^3 - 150z^5\zeta^2\bar{\zeta}^3 + \frac{975}{2} z^4\zeta^2\bar{z}^4 + 570z^3\zeta^2\bar{z}^5
\end{aligned}$$

$$\begin{aligned}
& - 325 z^2 \zeta^2 \bar{z}^6 - 435 z \zeta^2 \bar{z}^7 - 435 z^7 \bar{z} \zeta^2 - 325 z^6 \bar{z}^2 \zeta^2 - \frac{15}{2} \zeta^4 \bar{z}^5 \bar{\zeta} \\
& + 570 z^5 \bar{z}^3 \bar{\zeta}^2 + \frac{975}{2} z^4 \bar{z}^4 \bar{\zeta}^2 - 150 z^3 \bar{z}^5 \bar{\zeta}^2 + 9 \theta z^4 \bar{z}^6 + \frac{164}{7} \theta z^3 \bar{z}^7 + \frac{4}{7} \theta \zeta^3 \bar{z}^7 \\
& + \frac{1}{525} \theta^2 \zeta \bar{z}^9 + \frac{4}{7} \theta z^7 \bar{\zeta}^3 + \frac{1}{525} \theta^2 z^9 \bar{\zeta} + 9 \theta z^6 \bar{z}^4 + \frac{164}{7} \theta z^7 \bar{z}^3 + \frac{95}{4} \zeta^2 \bar{z}^8 \\
& + \frac{95}{4} z^8 \bar{\zeta}^2 + 90 \sqrt{-1} z \zeta^2 \bar{z}^5 v + 100 \sqrt{-1} z^3 \zeta^2 \bar{z}^3 v + 150 \sqrt{-1} z^2 \zeta^2 \bar{z}^4 v + 16 \sqrt{-1} \theta z^5 \bar{z}^3 v \\
& - 30 \sqrt{-1} z^5 \zeta \bar{z} \bar{\zeta} v - 150 \sqrt{-1} z^2 \zeta \bar{z}^4 \bar{\zeta} v + 150 \sqrt{-1} z^4 \zeta \bar{z}^2 \bar{\zeta} v + 30 \sqrt{-1} z \zeta \bar{z}^5 \bar{\zeta} v.
\end{aligned}$$

The general infinitesimal CR automorphism, depending on 5 real constants

$a, b, c, d, e \in \mathbb{R}$ , is  $L = A \partial_z + B \partial_\zeta + C \partial_w$ , where:

$$A^0 = a + \sqrt{-1} b,$$

$$A^1 = (-c + \sqrt{-1} d) z + (-a + \sqrt{-1} b) \zeta,$$

$$A^2 = \left(\frac{2}{5} \theta a + 5 \sqrt{-1} e\right) z^2 + \left(-\frac{2}{5} \theta a + 5 \sqrt{-1} e\right) w + (-c + \sqrt{-1} d) z \zeta,$$

$$A^3 = (-10a - 10 \sqrt{-1} b) z^3 + (10 \sqrt{-1} b + 30a) zw + \left(-\frac{2}{5} \theta a - 5 \sqrt{-1} e\right) \zeta w,$$

$$A^4 = (-10c - 5 \sqrt{-1} d) w^2 + (10a + 10 \sqrt{-1} b) z \zeta w + (-20c + 10 \sqrt{-1} d) z^2 w,$$

$$\begin{aligned}
A^5 &= \left(-\frac{4}{5} \theta a - 10 \sqrt{-1} e\right) z^5 + (-5c + 5 \sqrt{-1} d) z^4 \zeta + (4 \theta a - 50 \sqrt{-1} e) z^3 w \\
&+ (75 \sqrt{-1} e - 6 \theta a) zw^2 + (10c - 5 \sqrt{-1} d) \zeta w^2,
\end{aligned}$$

$$\begin{aligned}
A^6 &= \left(-20a - 20 \sqrt{-1} b - \frac{1}{5} \sqrt{-1} \theta d + \frac{1}{5} \theta c\right) z^6 + \left(-\frac{200}{3} a + \frac{100}{3} \sqrt{-1} b\right) w^3 \\
&+ (-2 \theta a + 25 \sqrt{-1} e) z \zeta w^2 + (200a + 100 \sqrt{-1} b) z^2 w^2,
\end{aligned}$$



$$\begin{aligned}
A^7 = & (10\sqrt{-1}d - 10c + \frac{2}{7}\sqrt{-1}\theta e + \frac{4}{175}\theta^2 a) z^7 + (100c + 50\sqrt{-1}d) z^3 w^2 \\
& + \left(-\frac{200}{3}a - \frac{100}{3}\sqrt{-1}b\right) \zeta w^3 + \left(-\frac{1}{5}\sqrt{-1}\theta d + \frac{1}{5}\theta c\right) z^6 \zeta + (50a + 50\sqrt{-1}b) z^4 \zeta w \\
& + \left(-50\sqrt{-1}d + 70c\right) z^5 w + \left(-100c - 50\sqrt{-1}d + 20\sqrt{-1}\theta e\right) z w^3,
\end{aligned}$$

$$\begin{aligned}
A^8 = & A_{0,0,4} w^4 + \left(-\frac{3}{175}\theta^2 c + \frac{4}{7}\sqrt{-1}\theta b - \frac{31}{7}\theta a + \frac{3}{175}\sqrt{-1}\theta^2 d - \frac{125}{2}\sqrt{-1}e\right) z^8 \\
& + \left(8\overline{A_{0,0,4}} + \frac{1}{2}\overline{B_{1,0,3}}\right) z^2 w^3 + \left(-30\sqrt{-1}d + 30c\right) z^5 \zeta w + \left(-\frac{100}{3}c - \frac{50}{3}\sqrt{-1}d\right) z \zeta w^3 \\
& + \left(-50c + 50\sqrt{-1}d\right) z^7 \zeta + \left(-2\sqrt{-1}\theta b + 10\theta a - 50\sqrt{-1}e\right) z^6 w,
\end{aligned}$$

where:

$$B^0 = c + \sqrt{-1}d,$$

$$B^1 = \left(\frac{4}{5}\theta a - 10\sqrt{-1}e\right) z + (2\sqrt{-1}d) \zeta,$$

$$B^2 = \left(-40\sqrt{-1}b - 60a\right) z^2 + \left(-c + \sqrt{-1}d\right) \zeta^2 + (10a - 10\sqrt{-1}b) w + \left(\frac{4}{5}\theta a + 10\sqrt{-1}e\right) z \zeta,$$

$$B^3 = \left(-30\sqrt{-1}d + 30c\right) z^3 + (40c + 20\sqrt{-1}d) zw + (60a) \zeta w + (40a - 140\sqrt{-1}b) z^2 \zeta,$$

$$\begin{aligned}
B^4 = & \left(-14\theta a + 100\sqrt{-1}e + 6\sqrt{-1}\theta b\right) z^4 + (2\theta a + 25\sqrt{-1}e) w^2 + \left(-40c + 20\sqrt{-1}d\right) z \zeta w \\
& + \left(24\theta a - 300\sqrt{-1}e\right) z^2 w + (10a + 10\sqrt{-1}b) \zeta^2 w + \left(-90\sqrt{-1}d + 90c\right) z^3 \zeta \\
& + \left(-60\sqrt{-1}b + 60a\right) z^2 \zeta^2,
\end{aligned}$$

$$\begin{aligned}
B^5 = & \left(-860\sqrt{-1}b + 900a + \frac{24}{5}\sqrt{-1}\theta d - \frac{24}{5}\theta c\right) z^5 + (40c - 40\sqrt{-1}d) z^3 \zeta^2 \\
& + \left(12\sqrt{-1}\theta b - 20\theta a - 100\sqrt{-1}e\right) z^4 \zeta + \left(-300a - 300\sqrt{-1}b\right) z^3 w \\
& + \left(400a - 200\sqrt{-1}b\right) zw^2 + (150\sqrt{-1}e) \zeta w^2 + (56\theta a + 200\sqrt{-1}e) z^2 \zeta w,
\end{aligned}$$

$$\begin{aligned}
B^6 = & \left( -770 \sqrt{-1} d + 690 c + 4 \sqrt{-1} \theta e + \frac{32}{25} \theta^2 a - \frac{24}{25} \sqrt{-1} \theta^2 b \right) z^6 \\
& + \left( -\frac{100}{3} c + \frac{50}{3} \sqrt{-1} d \right) w^3 + \left( -250 c - 350 \sqrt{-1} d - 30 \sqrt{-1} \theta e - \frac{12}{5} \theta^2 a \right) z^4 w \\
& + \left( 400 a + 200 \sqrt{-1} b \right) z \zeta w^2 + \left( -2 \theta a + 25 \sqrt{-1} e \right) \zeta^2 w^2 + \left( -6 \theta a + 6 \sqrt{-1} \theta b \right) z^4 \zeta^2 \\
& + \left( 600 c + 300 \sqrt{-1} d - 60 \sqrt{-1} \theta e \right) z^2 w^2 + \left( -1500 a - 300 \sqrt{-1} b \right) z^3 \zeta w \\
& + \left( 300 \sqrt{-1} e + 24 \theta a \right) z^2 \zeta^2 w + \left( \frac{48}{5} \sqrt{-1} \theta d - 1360 \sqrt{-1} b + 920 a - \frac{48}{5} \theta c \right) z^5 \zeta,
\end{aligned}$$

$$\begin{aligned}
B^7 = & \left( \frac{1056}{7} \sqrt{-1} \theta b - 168 \theta a + \frac{144}{175} \theta^2 c - \frac{144}{175} \sqrt{-1} \theta^2 d \right) z^7 \\
& + \left( -48 \overline{A_{0,0,4}} - 6 \overline{B_{1,0,3}} + 60 \theta a - 750 \sqrt{-1} e \right) z^3 w^2 + \left( -200 c \right) \zeta w^3 \\
& + \left( \frac{56}{25} \theta^2 a - \frac{48}{25} \sqrt{-1} \theta^2 b + 1460 c - 1460 \sqrt{-1} d + 4 \sqrt{-1} \theta e \right) z^6 \zeta \\
& + \left( -\frac{24}{5} \theta^2 a - 60 \sqrt{-1} \theta e + 100 c + 100 \sqrt{-1} d \right) z^4 \zeta w \\
& + \left( \frac{24}{25} \sqrt{-1} \theta d - \frac{24}{25} \theta c + 900 a - 900 \sqrt{-1} b \right) z^5 \zeta^2 \\
& + \left( 360 \theta a + 144 \sqrt{-1} \theta b + 5100 \sqrt{-1} e \right) z^5 w + \left( B_{1,0,3} \right) z w^3 \\
& + \left( -1000 a + 200 \sqrt{-1} b \right) z^3 \zeta^2 w + \left( -400 c + 700 \sqrt{-1} d \right) z^2 \zeta w^2,
\end{aligned}$$

and where:

$$\begin{aligned}
C^0 &= \sqrt{-1} e, \\
C^1 &= (2a - 2\sqrt{-1}b) z, \\
C^2 &= (c - \sqrt{-1}d) z^2 + (-2c) w, \\
C^3 &= \left(\frac{4}{5}\theta a + 10\sqrt{-1}e\right) zw,
\end{aligned}$$

$$C^4 = (10 \sqrt{-1} b) w^2 + (-10 a - 10 \sqrt{-1} b) z^2 w,$$

$$C^5 = (2 c - 2 \sqrt{-1} d) z^5 + (-20 c + 10 \sqrt{-1} d) z w^2,$$

$$C^6 = (-4 \theta a) w^3 + (2 \theta a - 25 \sqrt{-1} e) z^2 w^2,$$

$$C^7 = \left( \frac{2}{35} \sqrt{-1} \theta d - \frac{2}{35} \theta c \right) z^7 + (-20 a - 20 \sqrt{-1} b) z^5 w \\ + \left( \frac{400}{3} a + \frac{200}{3} \sqrt{-1} b \right) z w^3,$$

$$C^8 = (-25 \sqrt{-1} d + 10 \sqrt{-1} \theta e) w^4 + \left( -\frac{25}{2} \sqrt{-1} d + \frac{25}{2} c \right) z^8 + \left( \frac{100}{3} c + \frac{50}{3} \sqrt{-1} d \right) z^2 w^3 \\ + (10 \sqrt{-1} d - 10 c) z^6 w,$$

$$C^9 = \left( -\frac{2}{525} \sqrt{-1} \theta^2 d + \frac{2}{525} \theta^2 c \right) z^9 + \left( \frac{4}{7} \sqrt{-1} \theta b + \frac{4}{7} \theta a \right) z^7 w \\ + (4 \theta a - 50 \sqrt{-1} e) z^5 w^2 + (2 \overline{A_{0,0,4}}) z w^4,$$

and the related 5 holomorphic vector fields  $e_1, e_2, e_3, e_4, e_5$  have structure:

$$\begin{aligned} [e_1, e_2] &= -\frac{4}{5} \theta e_4 - 4 e_5, & [e_1, e_3] &= 0, & [e_1, e_4] &= 2 e_2, & [e_1, e_5] &= \frac{2}{5} \theta e_2 - 20 e_4, \\ [e_2, e_3] &= -2 e_2, & [e_2, e_4] &= 0, & [e_2, e_5] &= 0, \\ [e_3, e_4] &= 2 e_4, & [e_3, e_5] &= 2 e_5, \\ [e_4, e_5] &= 0. \end{aligned}$$

This Lie algebra  $\mathfrak{g}$  has the derived series of dimensions 5, 3, 0, with:

$$[\mathfrak{g}, \mathfrak{g}] = \text{Span} \left( -\frac{4}{5} \theta e_4 - 4 e_5, 2 e_2, \frac{2}{5} \theta e_2 - 20 e_4 \right).$$

These three vector fields form a 3-dimensional Abelian ideal  $\mathfrak{a} \subset \mathfrak{g}$ , whose value at the origin  $0 \in \mathbb{C}^3$  spans a maximally real 3-plane. This is coherent with [Fels-Kaup 2008].

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